



Office of Inspector General
United States Department of State

SEMIANNUAL REPORT TO THE CONGRESS

October 1, 2022, to March 31, 2023





OUR VISION

To be a world-class organization and a catalyst for effective management, accountability, and positive change in the Department, the U.S. Agency for Global Media, and the foreign affairs community.

OUR MISSION

To conduct independent audits, inspections, evaluations, and investigations to promote economy and efficiency and to prevent and detect waste, fraud, abuse, and mismanagement in the programs and operations of the Department and the U.S. Agency for Global Media.

OUR VALUES

ACCOUNTABILITY

We accept responsibility for our work products, services, and performance.

RESPECT

We promote diversity in the workplace and treat people with dignity and respect. We expect civility as we conduct our work and in our interactions with each other.

COMMUNICATION

We clarify expectations and encourage candor. We communicate with purpose and strive to align our words and our actions and to be aware of the effect we have on others. We look for ways to improve ourselves and our work by expressing appreciation and by giving and accepting constructive feedback.

TEAMWORK

We foster a diverse, inclusive, collaborative, and trusting culture where people can share their ideas and opinions. We empower and engage our colleagues to achieve outstanding organizational results.

INTEGRITY

We maintain our independence and act with courage, honesty, and professionalism. Our work is fact-based, objective, and supported by sufficient evidence that meets professional standards.

CURIOSITY

We are committed to learning about and listening to others' perspectives, objectives, and challenges. We seek new information to inspire creative and analytical thinking. We foster an environment that fuels innovation and results.

**Office of Inspector General Summary of Performance,
10/1/2022–3/31/2023**

	Issued during the reporting period	Management decision during the reporting period
Questioned Costs	\$0	\$14,351,820
Funds To Be Put to Better Use	\$220,293,993	\$1,623,200
Investigative Monetary Results	\$2,013,923	--
Total	\$222,307,916	\$15,975,020
<i>Additional monetary benefits achieved this period as the result of prior OIG recommendations</i>		\$149,322

Investigative Activities

Cases Opened	22
Cases Closed	24
Criminal Actions (arrests, indictments, convictions)	10
Civil Actions	2
Administrative Actions	13
Hotline Complaints Received	1,628

Reports

Office of Audits	16
Office of Evaluations and Special Projects	1
Office of Inspections	13
Office of the Executive Director	2
Office of Overseas Contingency Operations Oversight ^a	5
Total	37

^a All jointly issued with Department of Defense OIG and U.S. Agency for International Development OIG.

MESSAGE FROM DEPUTY INSPECTOR GENERAL PERFORMING THE DUTIES OF INSPECTOR GENERAL



Diana R. Shaw

I am pleased to present this *Semiannual Report to the Congress*, covering the oversight activities of the Office of Inspector General (OIG) for the Department of State (Department) and the U.S. Agency for Global Media (USAGM) from October 1, 2022, to March 31, 2023. OIG is responsible for the oversight of more than \$84 billion in Department and USAGM programs and operations and also provides oversight for the U.S. International Boundary and Water Commission, United States and Mexico (USIBWC), a federal agency operating under the foreign policy guidance of the Department.

An emerging and high priority oversight area for OIG pertains to the Ukraine related activities of the Department and USAGM. The complexity, scale, and timing of efforts in response to Russia's war against Ukraine demand robust oversight. Several related projects were completed this reporting period and are accordingly summarized in this Semiannual Report to the Congress.

Additionally, much of our work this reporting period focused on the top management and performance challenges facing the Department and USAGM, which are outlined in the annual *Inspector General Statement on the Department of State's Major Management and Performance Challenges* and the *Inspector General Statement on the U.S. Agency for Global Media's Major Management and Performance Challenges*.

During the reporting period, we issued 37 reports to improve the programs and operations of the agencies we oversee and identified approximately \$220 million in funds to be put to better use. Regarding compliance, we closed 278 recommendations associated with 116 reports. Of the 278 recommendations, 26 were significant and demonstrated implementation of crucial corrective actions by the Department and USAGM.

On the investigative front, our work led to an additional \$2 million in monetary results and four debarments. In addition, OIG participated in investigations that led to two arrests, three indictments, and five convictions.

Our work would not be possible without the talent and dedication of the people who are the Department of State OIG. My thanks to them, and to the Department, USAGM, USIBWC, and Congress for their support of our efforts.

A handwritten signature in blue ink that reads "Diana R. Shaw". The signature is written in a cursive style with a large, stylized initial "D".

Diana R. Shaw
Deputy Inspector General Performing the Duties of the Inspector General
March 31, 2023

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OVERVIEW

1 OVERSIGHT STRATEGY

The primary mission of the Office of Inspector General (OIG) for the Department of State (Department) and the U.S. Agency for Global Media (USAGM) is to conduct independent audits, evaluations, inspections, and investigations to promote economy and efficiency and to prevent and detect fraud, waste, abuse, and mismanagement in the programs and operations of the Department and USAGM.

OIG's mandate is broad and comprehensive, involving oversight of the full scope of Department and USAGM programs and operations, as well as the U.S. Section of the International Boundary and Water Commission, United States and Mexico, and of the International Joint Commission, United States and Canada. OIG is responsible for overseeing more than \$84 billion in Department, USAGM, and foreign assistance resources.

In addition to its agency-specific responsibilities, OIG's mandate expanded in January 2013 when Congress amended the Inspector General Act to provide for coordinated interagency oversight of overseas contingency operations (OCO), including the designation of a Lead Inspector General (Lead IG) for each OCO.

As of March 31, 2023, OIG's Deputy Inspector General performing the duties of the Inspector General was the Associate Inspector General for two active OCOs: Operation Inherent Resolve to degrade and defeat the Islamic State of Iraq and Syria, and Operation Enduring Sentinel (OES) to contain terrorist threats emanating from Afghanistan and

protect the homeland by maintaining pressure on those threats. OES is the follow-on to Operation Freedom's Sentinel, which ended in September 2021.

The Department's and USAGM's Ukraine-related activities are also a top oversight priority. The complexity, scale, and timing of efforts in response to the situation in Ukraine demand robust oversight. OIG is leveraging its extensive knowledge of the programs and operations of the Department and USAGM to develop and execute a plan that provides timely and critical information for Congress and the U.S. taxpayer.

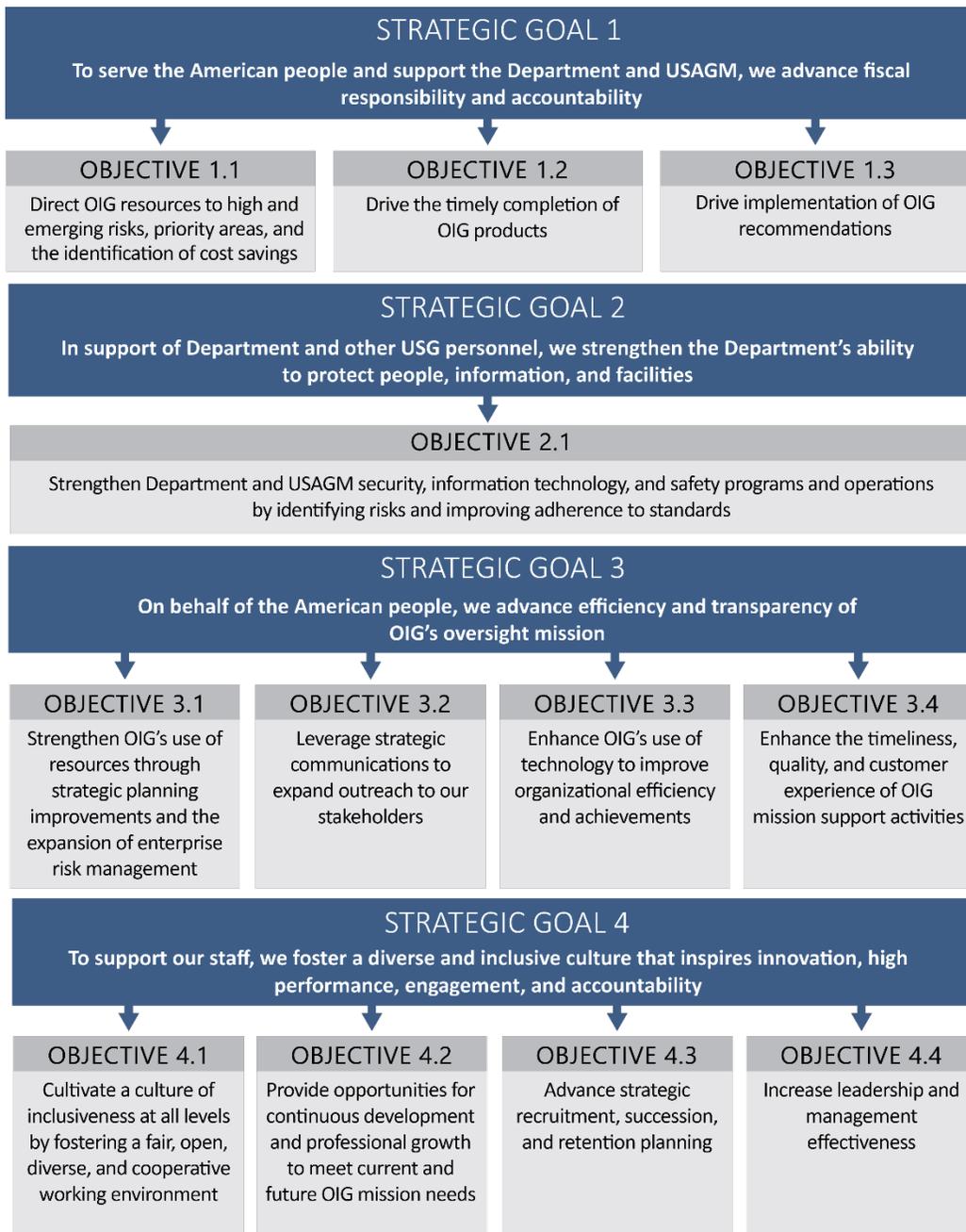
OIG maintains offices in Iraq and Germany, where the Office of Audits' Middle East Region Operations Directorate and the Office of Investigations (Germany only) dedicate on-the-ground resources, expertise, and oversight. We are also working closely with Embassy Kyiv management to devise a phased approach to enabling a routine presence for OIG at the embassy. As part of this effort, we submitted a formal request for three positions at Embassy Kyiv for approval.

Domestically, in addition to its Washington, DC, headquarters, OIG has strategically co-located investigative staff with the Department's Global Financial Services Center and the Bureau of Global Talent Management, Office of Shared Services, staff in Charleston, SC.

OIG Strategic Plan

OIG’s Strategic Plan affirmed its commitment to providing quality oversight to the agencies OIG serves and to informing the Office of Management and Budget (OMB), Congress, the public, and other stakeholders how OIG safeguards public resources. The plan also emphasized OIG’s continuing obligation to

improve its own operations and to ensure that its workforce is, and continues to be, highly motivated and well trained to carry out its responsibilities. The strategic goals and objectives outlined below provide the framework that guides OIG’s work and activities for FYs 2019–2023.



2 COMMUNICATIONS AND OUTREACH

OIG communicates its global mission to Congress, Department, and USAGM employees and contractors; peers in the oversight community; and the public in a variety of ways.

Communication With Congress

OIG regularly interacts with Members of Congress and their staff by testifying at hearings, responding to congressional requests for information, and providing briefings on OIG work.

Hotline Complaints

During this reporting period, the OIG Hotline continued to serve as a vehicle through which Department, USAGM, and USIBWC employees and contractors, as well as members of the public, reported suspected fraud, waste, abuse, and mismanagement in Department

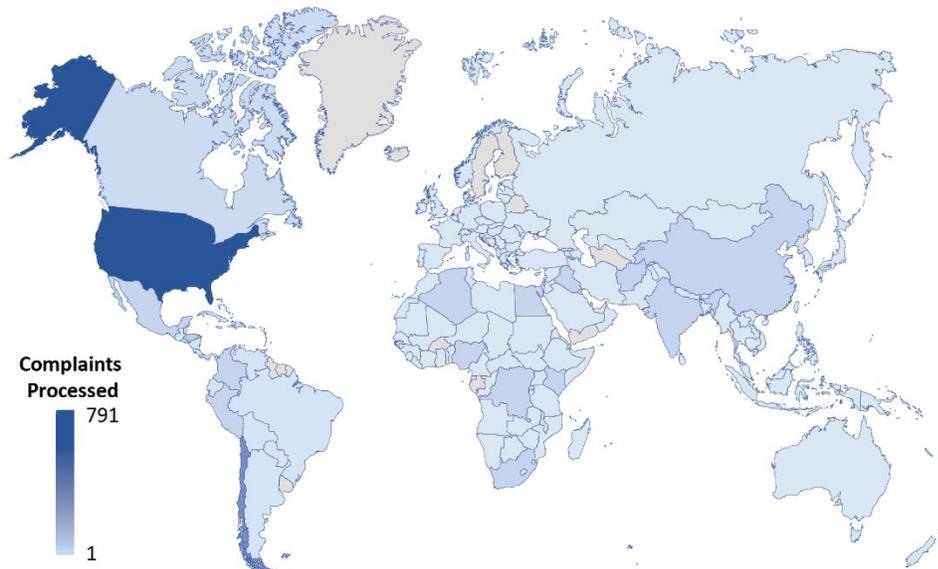
and USAGM programs and operations. OIG received hotline complaints via the OIG website, mail, and telephone. For a summary of OIG Hotline complaints received during this reporting period, see Table 2.1.

Table 2.1
OIG Hotline Complaints,
10/1/2022–3/31/2023

Referred to Other Offices for Action	753
Held for Action Within OIG	131
No Action Required	744
Total Complaints Received	1,628

Figure 2.1 highlights the OIG Hotline’s global reach. Of the 1,628 complaints received this reporting period, 837 (51 percent) concerned possible criminal, civil, and administrative violations occurring in other countries. The remainder of the 791 complaints dealt with alleged violations in the United States.

Figure 2.1
Hotline Complaints Processed by Country, 10/1/2022–3/31/2023



Source: Office of Investigations electronic case management system.

Whistleblower Protection Coordinator Activities

The whistleblower protection coordinator, OIG’s Assistant Inspector General for Evaluations and Special Projects (ESP), educates Department and USAGM employees, as well as contractor and grantee employees, on the rights and protections available to whistleblowers. As required by the National Defense Authorization Act for Fiscal Year 2013 (41 U.S.C. § 4712), as amended, the coordinator oversees investigations of allegations of retaliation filed by employees of contractors, subcontractors, grantees, and subgrantees, as well as personal services contractors. These cases are detailed in Table 2.2. Additionally, the coordinator investigates complaints under Presidential Policy Directive 19, which prohibits whistleblower retaliation in the form of actions that affect an employee’s eligibility for access to classified information.

Table 2.2
Whistleblower Investigations Under 41 U.S.C. § 4712

	Reporting Period 10/1/2022–3/31/2023	Since Enactment
Complaints Received and Reviewed	11	142
Active Investigations	10	--
Investigations Completed	3	41

Source: Office of Evaluations and Special Projects electronic case management system.

Department of State

During this reporting period, OIG completed 3 investigations under 41 U.S.C. § 4712, involving complaints of whistleblower retaliation filed by employees of Department contractors and grantees. OIG did not substantiate that retaliation had occurred in one case involving a former employee of a Department grantee and in one case involving a former employee of a Department contractor. OIG substantiated that retaliation had occurred in a third case involving a former employee of a Department contractor. OIG referred the completed investigations to the Office of the Procurement Executive, the entity responsible for determining whether remedial action is appropriate.

U.S. Agency for Global Media

During the reporting period, OIG did not complete any whistleblower investigations related to USAGM.

3 OVERSEAS CONTINGENCY OPERATIONS

OIG has both oversight and reporting responsibilities for overseas contingency operations under the Inspector General Act of 1978, as amended (5 U.S.C. Section 419, previously found at 5 U.S.C. App, Section 8L). Section 419 is triggered when the Secretary of Defense declares that a military operation constitutes an OCO or when an operation results in troops being called to or retained on active duty.

Following one of these triggers, Section 419 activates a “Lead Inspector General” mechanism that requires concerted action by the OIGs of the Department of State, Department of Defense (DoD), and the U.S. Agency for International Development (USAID), with one of them designated as the Lead Inspector General.

Since September 2014, OIG—with the DoD and USAID OIGs—has provided statutorily mandated oversight of eight different OCOs. These responsibilities currently apply to two active OCOs: Operation Inherent Resolve to defeat the Islamic State of Iraq and Syria and

Operation Enduring Sentinel (OES) to contain terrorist threats emanating from Afghanistan and protect the homeland by maintaining pressure on those threats. OES is the follow-on to Operation Freedom’s Sentinel (OFS). OIG’s ongoing audits, inspections, and investigations related to these OCOs continued and are included in this *Semiannual Report to the Congress*. Moreover, OIG continues to monitor possible future OCOs in various areas of the world.

OIG aligns its oversight responsibilities with the defined mission objectives of each OCO and focuses on the programs and processes that support the achievement of those objectives. In addition to the audit, inspection, evaluation, and investigative efforts related to the ongoing OCOs, OIG worked with its Lead IG counterpart agencies to publish quarterly reports to the Congress on each OCO.

For a list of OIG activities in support of the Lead IG-OCO mandate during the reporting period, see Table 3.1. and Table 3.2.

Table 3.1
OIG Oversight Activities in Support of the Lead Inspector General-OCO Mandate,
10/1/2022–3/31/2023

Completed Reports	Date
<i>Review of Challenges in the Afghan Placement and Assistance Program (ESP-23-01)</i>	3/2023
<i>Inspection of the Bureau of International Narcotics and Law Enforcement Affairs (ISP-I-23-08)</i>	1/2023
<i>Inspection of Embassy Kuwait City, Kuwait (ISP-I-23-07)</i>	11/2022
<i>Inspection of the Afghanistan Affairs Unit (ISP-I-23-05)</i>	11/2022
<i>Classified Annex to the Inspection of the Afghanistan Affairs Unit (ISP-S-23-05A)*</i>	11/2022
<i>Compliance Follow-Up Review of the Afghan Special Immigrant Visa Program (AUD-MERO-23-01)</i>	10/2022
<i>Targeted Inspection of the U.S. Agency for Global Media: Editorial Independence and Journalistic Standards and Principles (ISP-IB-23-01)</i>	10/2022

*This report is Classified

Table 3.2
OIG Joint Oversight Activities in Support of the Lead Inspector General-OCO Mandate,
10/1/2022–3/31/2023

Joint Completed Projects	Date
<i>Lead Inspector General for Operation Enduring Sentinel Quarterly Report to the United States Congress October 1, 2022 – December 31, 2022</i>	2/2023
<i>Lead Inspector General for Operation Inherent Resolve Quarterly Report to the United States Congress October 1, 2022 - December 31, 2022</i>	2/2023
<i>Lead Inspector General for Operation Enduring Sentinel and Operation Freedom's Sentinel Quarterly Report to the United States Congress July 1, 2022 – September 30, 2022</i>	11/2022
<i>Lead Inspector General for Operation Inherent Resolve Quarterly Report to the United States Congress July 1, 2022 - September 30, 2022</i>	11/2022
<i>FY 2023 Comprehensive Oversight Plan for Overseas Contingency Operations</i>	10/2022

4 OIG ACCOUNTABILITY AND INDEPENDENCE

OIG has disclosed all unclassified audits, evaluations, and inspections issued from October 1, 2022, through March 31, 2023.

OIG identifies on its website the titles of reports that are not publicly available, unless the titles themselves are classified or Sensitive But Unclassified. The *Department of State Classified Annex to the Semiannual Report to the Congress* and the *U.S. Agency for Global Media Sensitive But Unclassified Annex to the Semiannual Report to the Congress* contain information regarding reports that are not publicly available. In addition, OIG provides all

classified and Sensitive But Unclassified reports to appropriate committees in Congress and to other authorized individuals upon request.

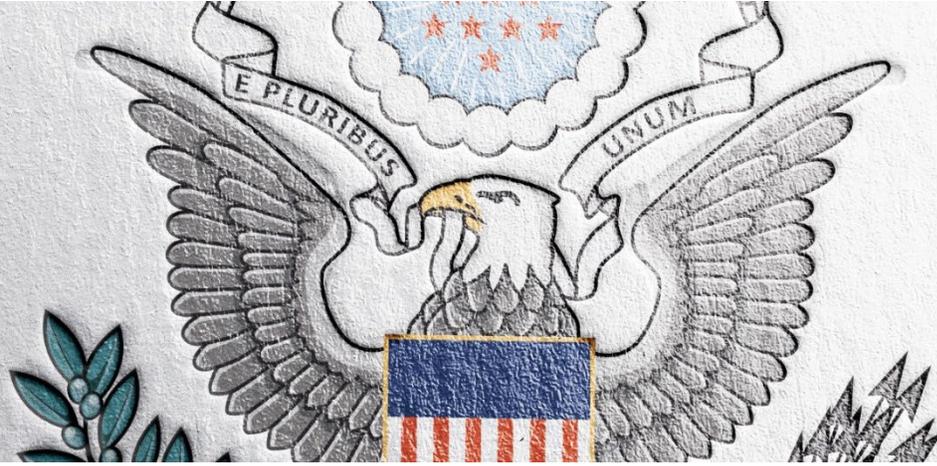
OIG did not encounter any attempts to interfere with Inspector General independence—whether through budgetary constraints designed to limit its capabilities, resistance or objection to oversight activities, or restrictions on or significant delays in access to information—for the reporting period from October 1, 2022, through March 31, 2023.

5 CONSOLIDATED FINANCIAL RESULTS OF OIG WORK

OIG returns significant value to the U.S. public through its audits, evaluations, inspections, and investigations. For the reporting period, OIG issued 37 reports related to the programs and operations of the Department, USAGM, USIBWC, and overseas contingency operations. OIG also identified approximately \$220 million in potential monetary benefits to the Department. Additionally, OIG's criminal, civil, and administrative investigations resulted in over \$2 million in recoveries and other monetary results this reporting period.

From October 1, 2022, through March 31, 2023, the Department made management decisions on approximately \$16 million in

potential monetary benefits identified by OIG during the current and prior reporting periods. OIG determines that a management decision on questioned costs and funds put to better use has been made and reports such a determination when OIG receives and accepts sufficient, specific evidence during the compliance process. At the close of the reporting period, the Department had not yet taken action on OIG recommendations totaling approximately \$845 million. This is a \$204 million increase from the approximately \$641 million in questioned costs and funds to be put to better use pending a Department management decision at the beginning of the reporting period.



OVERSIGHT OF DEPARTMENT OF STATE PROGRAMS AND OPERATIONS

6 OFFICE OF AUDITS

The Office of Audits (AUD) supports the Department of State (Department) in improving management; strengthening integrity and accountability; and ensuring the most efficient, effective, and economical use of resources.

Audit work focuses on areas of concern such as physical security at overseas posts; financial management; IT security and management; contracts, acquisition, and grants management; human capital and administrative issues; and high-risk programs and operations in the frontline states. From October 1, 2022, through March 31, 2023, the Office of Audits issued 13 reports on Department or International Boundary and Water Commission, United States and Mexico, U.S. Section (USIBWC) programs and operations. Twelve reports are summarized below and the remaining report is summarized in The *Department of State Classified Annex to the Semiannual Report to the Congress*.

Contracts, Grants, and Infrastructure

Information Report: International Boundary and Water Commission, United States and Mexico, U.S. Section, 2022 Charge Card Risk Assessment
(AUD-CGI-23-05, 10/2022)

OIG conducted a risk assessment of the USIBWC purchase card program and concluded that the risk of illegal, improper, or erroneous use in the USIBWC purchase card program was “low.” This conclusion is based on the USIBWC purchase card program size,

internal controls, training, previous audits, and INV observations.

On the basis of the results of this assessment, OIG did not recommend an audit of USIBWC’s purchase card program be included in OIG’s work plan. However, OIG encouraged USIBWC officials to continue prudent oversight of the purchase card program.

Information Report: Department of State 2022 Travel Charge Card Risk Assessment
(AUD-CGI-23-04, 10/2022)

OIG conducted a risk assessment of the Department’s travel card program and concluded that the risk of illegal, improper, or erroneous use in the Department’s travel card program is “medium.” This conclusion was based on the Department travel card program’s size, internal controls, training, previous audits, and INV observations.

On the basis of the results of this assessment, OIG did not recommend an audit of the Department’s travel card program be included in OIG’s work plan. However, OIG encouraged Department officials to continue prudent oversight of the travel card program.

Information Report: Department of State 2022 Purchase Charge Card Risk Assessment
(AUD-CGI-23-03, 10/2022)

OIG conducted a risk assessment of the Department’s purchase card program and concluded that the risk of illegal, improper, or erroneous use in the Department’s purchase card program is “medium.” This conclusion

was based on the Department purchase card program's size, internal controls, training, previous audits, violation reports, and OIG Office of Investigations (INV) observations. On the basis of the results of this assessment, OIG did not recommend that an audit of the Department's purchase card program be included in OIG's work plan. However, OIG encouraged Department officials to continue prudent oversight of the purchase card program.

Financial Management

Management Letter Related to the Audit of the International Boundary and Water Commission, United States and Mexico, U.S. Section, FY 2022 Financial Statements (AUD-FM-23-14, 3/2023)

During the audit of USIBWC FY 2022 financial statements, the independent external auditor identified three weaknesses related to Prompt Payment Act compliance, accounting for personal property, and untimely recording of constructed assets.

Independent Auditor's Report on the International Boundary and Water Commission, United States and Mexico, U.S. Section, FY 2022 and FY 2021 Financial Statements (AUD-FM-23-13, 2/2023)

An independent external auditor, working on behalf of and under the direction of OIG, audited the USIBWC annual financial statements as of September 30, 2022 and 2021, and for the years then ended. The auditor found that the financial statements present fairly, in all material respects, the financial position of USIBWC as of September

30, 2022 and 2021, and its net cost of operations, changes in net position, and budgetary resources for the years then ended, in accordance with accounting principles generally accepted in the United States of America.

The auditor found one significant deficiency in internal control over financial reporting. Specifically, the auditor identified issues with IT controls. Additionally, the results of the auditor's tests disclosed no instances of noncompliance that are required to be reported.

Management Letter Related to the Audit of the U.S. Department of State FY 2022 Financial Statements (AUD-FM-23-12, 2/2023)

During the audit of the Department's FY 2022 financial statements, an independent external auditor identified weaknesses related to controls over unrecorded capital lease obligations, untimely recording of obligations, the reconciliation of accounts held by the Department of the Treasury, personnel data for locally employed staff, personnel records and actions, supporting data related to the asbestos remediation estimate, access privileges reviews for a supply chain application, and insufficient documentation of user authorization for a compensation system.

Independent Auditor's Report on the U.S. Department of State FY 2022 and FY 2021 Financial Statements (AUD-FM-23-07, 11/2022)

An independent external auditor, working on behalf of and under the direction of OIG,

audited the Department’s annual financial statements as of, and for the years ended, September 30, 2022 and 2021. The auditor found that the financial statements present fairly, in all material respects, the financial position of the Department as of September 30, 2022 and 2021, and its net cost of operations, changes in net position, and budgetary resources for the years then ended, in accordance with accounting principles generally accepted in the United States of America.

The external auditor found certain reportable significant deficiencies in the internal control over property and equipment, validity and accuracy of unliquidated obligations, financial reporting, and information technology. The external auditor also found two instances of reportable noncompliance with laws, regulations, contracts, and grant agreements required by the Antideficiency Act and the Prompt Payment Act.

Independent Accountant’s Report on the Application of Agreed-Upon Procedures: Employee Benefits, Withholdings, Contributions, and Supplemental Semiannual Headcount Reporting Submitted to the Office of Personnel Management (AUD-FM-23-02, 10/2022)

An independent external auditor, working on behalf and under the direction of OIG, performed agreed-upon procedures to assist the Office of Personnel Management (OPM) in assessing the reasonableness of retirement, health benefits, and life insurance withholdings and contributions as well as enrollment information submitted via the Semiannual Headcount Report to OPM by

the Department. The auditor identified some reportable differences related to life insurance, retirement, and payroll.

Middle East Region Operations

Ukraine Supplemental Appropriations Act, 2023 Mandated Assessment (AUD-MERO-23-10, 1/2023)

In September 2022, Congress passed the Ukraine Supplemental Appropriations Act, 2023 (the Act) that provided \$4.5 billion for direct financial support for Ukraine. One provision in the Act stated that at least 15 days prior to the initial obligations of funds, the Secretary of State, in consultation with the U.S. Agency for International Development (USAID) Administrator, “shall certify and report to the appropriate congressional committees that mechanisms for monitoring and oversight of such funds are in place and functioning and that the Government of Ukraine has in place substantial safeguards to prevent corruption and ensure accountability of such funds.” The Act also required the Inspectors General of the Department and USAID to “submit a report to the appropriate congressional committees detailing and assessing the mechanisms for monitoring and safeguards” described in the Secretary of State’s report.

OIG found that the Department certified and reported to Congress at least 15 days prior to the initial obligation of Economic Support Funds, as required by the Act. The Department coordinated closely with USAID and the U.S. Department of the Treasury to identify, understand, and evaluate various methods for disbursing direct financial

support to the government of Ukraine. In addition, OIG determined that the Department complied with the Act's requirements, following its established internal process to generate and obtain approval for the certification and report to Congress. Furthermore, the Department's report to Congress described processes and procedures for monitoring and overseeing the funds, as well as government of Ukraine safeguards to prevent corruption and ensure accountability of funds. Ultimately, the Department's established process and interagency consultation and evaluation supported the Department's certification that mechanisms for monitoring and oversight and safeguards are in place and functioning.

Oversight Observations to Inform the Department of State Ukraine Response
(OIG-23-01, 12/2022)

This brief was designed to provide considerations for the Department as it executes programs and operations in support of response efforts following Russia's February 2022 full-scale invasion of Ukraine. As Department officials continued work to advance U.S. government aims against this high-stakes, high-risk backdrop, OIG prepared this report to share observations and lessons learned from past oversight work that could be applied to Ukraine response programs and operations.

OIG identified seven issue areas, drawn from OIG's work, as well as the work of other federal oversight bodies. These issue areas include managing in the face of change and uncertainty, directing geographically dispersed operations, meeting pressing

workforce requirements, operating in a restrictive environment, delivering effective coordination, driving program performance, and applying award management and oversight principles. Discussion of these issues was informed by observations from OIG visits to Poland and the Ukraine border in July and September 2022. OIG discusses challenges observed in the Ukraine response and how similar challenges have manifested in previous settings and presents practices that can be employed to prevent or mitigate related problems.

Information Report: Countering Russian Influence Fund
(AUD-MERO-23-09, 12/2022)

OIG conducted this review to determine whether the Department complied with legislative requirements and Department guidance applicable to the Countering Russian Influence Fund (CRIF). From FY 2017 through FY 2022, Congress designated \$1.5 billion, within four foreign assistance appropriations accounts, as CRIF. CRIF programs are designed to achieve goals identified in the Countering America's Adversaries Through Sanctions Act (CAATSA) to counter malign Russian influence.

OIG found that the Department complied with legislative requirements and Department guidance applicable to CRIF. Specifically, from FY 2018 through FY 2022, the Office of the Coordinator of U.S. Assistance for Europe and Eurasia (ACE) complied with CAATSA-mandated annual reporting requirements.

With respect to Department strategic planning efforts, OIG found that CAATSA goals align with countering malign Russian influence

goals and objectives within the Department and USAID's Joint Strategic Plan and Joint Regional Strategy. Additionally, Department budget formulation efforts, annual budget requests, and program allocations consider and incorporate CRIF-designated foreign assistance funds.

OIG also found that ACE developed and implemented policies and procedures for directing CRIF-designated foreign assistance funds to achieve CAATSA goals. ACE also provides annual budget and project proposal templates to assist implementing partners identify and request CRIF-designated foreign assistance funding and convenes annual review panels to discuss and program CRIF-attributed proposals.

Compliance Follow-Up Review of the Afghan Special Immigrant Visa Program (AUD-MERO-23-01, 10/2022)

Following the Department evacuation and suspension of operations at U.S. Embassy Kabul, Afghanistan, on August 31, 2021, multiple congressional committees requested that OIG review the status of previous OIG recommendations concerning the Afghan Special Immigrant Visa (SIV) program. In June 2020, OIG reported that the Bureau of Consular Affairs' (CA) method for collecting, verifying, and reporting Afghan SIV application processing times was inconsistent and potentially flawed. (OIG, *Management Assistance Report: Quarterly Reporting on Afghan Special Immigrant Visa Program Needs Improvement* [AUD-MERO-20-34, June 2020]).

OIG also reported that the Department's staffing was insufficient to reduce the Afghan SIV application backlog and that the Department relied on multiple IT systems that were not interoperable. (OIG, *Review of the Afghan Special Immigrant Visa Program* [AUD-MERO-20-35, June 2020].)

During this review, OIG found that CA's actions to address prior OIG recommendations provided minor improvements to quarterly reporting but did not improve methods for collecting or verifying Afghan SIV application processing times. OIG also found that the Afghan SIV Senior Coordinating Official did not sufficiently coordinate and monitor the implementation of improvements and did not ensure sufficient staffing to address the Afghan SIV application backlog. The Department also struggled to complete applicant employment verification because of limited coordination with the Department of Defense. Furthermore, the Department has not prioritized SIV functionality within its consular system modernization process. These deficiencies contributed to Afghan SIV applicant processing times exceeding the 9-month goal set by Congress.

7 OFFICE OF EVALUATIONS AND SPECIAL PROJECTS

The Office of Evaluations and Special Projects (ESP) complements the work of OIG's Offices of Audits, Inspections, and Investigations and improves OIG's capabilities to educate employees regarding whistleblower protections. This office also reviews allegations of administrative misconduct by senior officials and conducts special evaluations and reviews, including responses to congressional inquiries.

From October 1, 2022, to March 31, 2023, ESP issued one unclassified report on Department of State (Department) programs and operations, which is summarized below. During this period, the office also issued 3 whistleblower reports, which are discussed in Section 2 of this report.

Review of Challenges in the Afghan Placement and Assistance Program (ESP-23-01, 3/2023)

In 2021, following the U.S. military withdrawal from Afghanistan, the Department implemented the Afghan Placement and Assistance (APA) Program to facilitate the resettlement of Afghan nationals in communities around the United States in conjunction with nine resettlement agencies. OIG initiated this review to examine the challenges faced by the nine agencies in implementing the APA Program and to identify any lessons learned for future resettlement efforts.

Resettlement agencies reported that the APA Program involved some of the most significant challenges that they had ever faced. Many of these challenges were external, such as the fast pace and large number of Afghan arrivals and the effects of the COVID-19 pandemic. Following the decrease in refugee admissions under the prior administration, many of the agencies and their affiliates did not have adequate staffing for the number of arrivals they were receiving and had to hire staff quickly to implement the APA Program. In addition, the fast pace of arrivals, the lack of available housing, difficulty obtaining necessary documentation for the APA participants, and minimal pre-arrival cultural orientation also presented challenges for resettlement agencies.

The resettlement agencies also reported that the Department provided sufficient funding for the APA Program. The agencies also reported positive impressions of the coordination between the Department and other government agencies in implementing the APA Program.

Allegations of Misconduct Involving Senior Government Employees

ESP also reviews allegations of non-criminal misconduct by senior Department employees. During the reporting period, ESP did not substantiate any such allegations.

8 OFFICE OF INSPECTIONS

The Office of Inspections (ISP) conducts independent assessments of the operations of the Department, its posts abroad, and related activities. OIG inspects each post and domestic bureau as required by the Foreign Service Act of 1980, which sets forth three broad areas for inspections: policy implementation, resource management, and management controls.

The office also conducts targeted reviews of Department programs and operations and compliance follow-up reviews on some posts, offices, and bureaus previously inspected to ensure implementation of key recommendations. From October 1, 2022, to March 31, 2023, ISP issued 12 reports on Department programs and operations, 8 of which were unclassified and are summarized below. The *Department of State Classified Annex to the Semiannual Report to the Congress* includes summaries of the other four reports.

Domestic Bureaus

Inspection of the Bureau of International Narcotics and Law Enforcement Affairs (ISP-I-23-08, 1/2023)

OIG found that management control deficiencies hindered the Bureau of International Narcotics and Law Enforcement Affairs' ability to effectively plan, manage, and evaluate the results of its projects, programs, and operations. However, bureau leadership set a positive tone at the top and generally acted in accordance with Department leadership and management principles.

Department stakeholders and other federal agencies described the bureau as a collaborative partner and leader in the U.S. government's efforts to counter illegal drugs, stop corruption, fight transnational organized crime, train and equip foreign law enforcement officials, and promote the rule of law. OIG also found a bureau reorganization has yet to be fully implemented as envisioned, which limited some of its intended organizational efficiencies. Additionally, deficiencies in the bureau's strategic approach resulted in limited evaluation planning and reduced program performance data. Foreign assistance programs lacked oversight documentation, program evaluation, and a bureau-level policy for risk management. Moreover, the Executive Office lacked customer service standards and procedures that led to employee dissatisfaction and negatively affected bureau efficacy. OIG determined the bureau did not effectively monitor unliquidated obligations, which resulted in up to \$220 million that could be put to better use. In addition, the bureau canceled its cloud migration project after 5 years and a total expenditure of at least \$3.4 million due to a lack of internal information technology management controls and poor contractor oversight.

Lastly, the bureau did not follow the Department's information technology project planning and system oversight and assessment procedures, which created vulnerabilities and wasted funds.

OIG made recommendations to address executive direction, policy and program

implementation, foreign assistance program management, resource management, and information management.

Overseas Missions

Bureau of African Affairs

Inspection of Embassy Khartoum, Sudan
(ISP-I-23-13, 3/2023)

Embassy Khartoum operated under difficult conditions, which included challenges staffing U.S. direct-hire positions, a 7-week authorized departure, frequent and sometimes violent protests and demonstrations nearby, and a military takeover of the civilian-led government. OIG found the Ambassador and Deputy Chief of Mission generally modeled the Department's leadership and management principles, particularly to foster resilience and to model integrity. However, the embassy had internal control issues related to excess property, shipping containers, training, vehicle mishaps, bulk fuel management, uniforms, and personal protective equipment. The embassy also had internal control shortcomings in the Consular Section related to orientation and training, public signage, and the fraud prevention program, and in the Information Management Unit related to information security practices, dedicated internet networks, contingency planning, acquisition planning, mobile devices, and records management.

OIG made recommendations to address policy and program implementation, resource management, and information management.

Bureau of International Organization Affairs

Targeted Inspection of the U.S. Mission to the International Civil Aviation Organization in Montreal, Canada
(ISP-I-23-02, 10/2022)

The 1944 Convention on International Civil Aviation created the International Civil Aviation Organization to promote the safe and orderly development of civil aviation around the world. Directed and funded by 193 national governments, the International Civil Aviation Organization sets standards and recommended practices for aviation safety, security, efficiency and regularity, and environmental protection. OIG found staff at the U.S. Mission to the International Civil Aviation Organization advanced U.S. priorities in the areas of aviation safety, security, and environmental sustainability through advocacy, diplomatic engagement, and public diplomacy efforts.

OIG did not make any recommendations in this report.

Bureau of Near Eastern Affairs

Inspection of Embassy Kuwait City, Kuwait
(ISP-I-23-07, 11/2022)

OIG found the former Ambassador and current Chargé d'Affaires, ad interim, led Embassy Kuwait City in a strategic and attentive manner consistent with Department leadership and management principles. The embassy's response to the COVID-19 pandemic was consistent with Department guidance on risk management. However, Consular Section locally employed staff were not cross trained in U.S. citizen services

functions, such as processing of passport applications. OIG also found the Management Section did not manage embassy property in accordance with Department standards, which resulted in excess nonexpendable and expendable property and unauthorized use of shipping containers for storage. Finally, the embassy's information systems security officers did not perform required duties, and insufficient oversight by embassy managers caused a 1-year delay in an important embassy radio upgrade project.

OIG made recommendations to address policy and program implementation, resource management, and information management.

Spotlight on Success: Embassy Kuwait City successfully managed the evacuation from Afghanistan and processing through Kuwait of more than 5,000 Afghan refugees and 1,500 American citizens, permanent residents, and third-country nationals in August and September 2021. Additionally, the Consular Section developed electronic tools to simplify back-office tasks and provide a more efficient and accurate workflow. Lastly, the Management Section's use of Microsoft Forms to process locally employed staff benefits reduced processing time from 4 weeks to less than 1 week with increased accuracy.

Bureau of South and Central Asian Affairs

Inspection of the Afghanistan Affairs Unit (ISP-I-23-05, 11/2022)

The Chargé d'Affaires and the acting Deputy Chief of Mission modeled the Department's leadership principles following the evacuation of Embassy Kabul and in the transition to the formal establishment of the Afghanistan

Affairs Unit in Doha, Qatar. During this time, the unit's leadership paid particular attention to employee welfare. Additionally, from September 2021 through May 2022, the Political-Economic Section organized more than 50 meetings that aided in the release of detainees and equipment and facilitated discussion of economic, humanitarian, human rights, and related issues. However, OIG found that Department and interagency stakeholders were not always clear about the lines of responsibility among the multiple Department entities with roles in the management of U.S. government policy or programs for Afghanistan. Additionally, the Afghanistan Affairs Unit website, which remained branded as U.S. Embassy Afghanistan, generally lacked relevant, up-to-date content.

Lastly OIG found that the unit struggled to rebuild its contact lists following the Embassy Kabul evacuation and lacked a unified, mission-wide platform for managing contact information.

OIG made recommendations to address executive direction, policy and program implementation, and resource management.

Bureau of Western Hemisphere Affairs

Inspection of Embassy La Paz, Bolivia (ISP-I-23-03, 1/2023)

OIG found the Chargé d'Affaires and the Deputy Chief of Mission did not always lead Embassy La Paz in accordance with Department leadership and management principles. However, the embassy complied with security and emergency preparedness

requirements and managed the challenges of operating in a difficult environment.

Additionally, the Chargé d’Affaires promoted diversity, equity, inclusion, and accessibility principles. OIG also found that the embassy did not comply with end-use monitoring requirements for equipment supplied to Bolivian security forces. Furthermore, Embassy La Paz did not administer some public diplomacy programs in accordance with Department standards.

Lastly, management controls for facility maintenance, human resources, and motor vehicle safety did not meet Department standards, and the embassy did not complete all required information systems security officer duties.

OIG made recommendations to address policy and program implementation, resource management, and information management.

Spotlight on Success: Embassy La Paz’s alumni network organization provided avenues for participants to apply their exchange program experiences.

Inspection of Embassy Buenos Aires, Argentina
(ISP-I-23-06, 12/2022)

OIG found the Ambassador and the Deputy Chief of Mission led Embassy Buenos Aires in a professional and collaborative manner. They communicated clearly with embassy staff and emphasized diversity, equity, inclusion, and accessibility principles. Additionally, the embassy’s response to the COVID-19 pandemic was consistent with Department risk management standards. However, aspects

of internal control, safety, and security did not meet Department requirements and needed attention from embassy leadership. The embassy’s deletion of nonimmigrant visa records did not adhere to Department standards. OIG also found that the embassy’s fire protection program did not meet Department standards, and the embassy did not take steps to mitigate fire risks at the chancery and in its high-rise residences.

Moreover, the embassy did not comply with Department standards regarding motor vehicle or driver safety standards and the embassy’s information technology infrastructure did not meet Department physical security and installation standards.

OIG made recommendations to address policy and program implementation, resource management, and information management.

Inspection of Embassy Ottawa and Constituent Posts, Canada
(ISP-I-23-04, 10/2022)

OIG found that Mission Canada’s leadership team generally modeled the Department’s leadership principles, and the Ambassador and Deputy Chief of Mission emphasized diversity and inclusion. However, the mission lacked a formal, structured First- and Second-Tour Program. The mission’s Political and Economic Sections collaborated to advance most Integrated Country Strategy and Department priorities, except for countering malign People’s Republic of China activities and Arctic issues. Mission Canada’s Consular Sections continued to meet high demand for U.S. passports and Consular Reports of Birth Abroad within standards and were addressing

a backlog in nonimmigrant visa services. Yet, the mission had consular issues related to space and line of sight, file storage, passport adjudication audits, and fraud prevention. OIG also found that seismic assessments had not been conducted on all Consulate General Vancouver facilities, as required. Additionally, across the mission, the motor vehicle, property management, contracting officer's representative, and housing programs did not fully comply with Department standards.

Lastly, the mission had information management issues related to information security practices, standard operating documentation, network cabling, and records management.

OIG made recommendations to address executive direction, policy and program implementation, resource management, and information management.

Spotlights on Success: Mission Canada added a floating holiday to advance its diversity, equity, inclusion, and accessibility goal. Additionally, Consulates General Calgary, Halifax, Montreal, Quebec City, Toronto, and Vancouver used contractors for after-hours calls, reducing the burden on duty officers who served on a rotational basis to assist Americans after normal operating hours.

9 OFFICE OF INVESTIGATIONS

The Office of Investigations conducts worldwide investigations of criminal, civil, and administrative misconduct related to programs and operations of the Department.

The office refers investigative results to the Department of Justice (DOJ) for prosecution and to the Department’s Bureau of Global Talent Management, the Bureau of Diplomatic Security, the Office of the Procurement Executive, and other entities for administrative or other appropriate action. OIG’s involvement in complex investigations

of crimes affecting Department employees, programs, and operations has resulted in significant actions—including convictions, settlements, recoveries, suspensions, and debarments—and continues to promote integrity and accountability of personnel and programs.

For a summary of OIG investigative activity related to Department of State programs and operations this reporting period, see Table 9.1. For a breakdown of cases closed this reporting period, see Figure 9.1.

Table 9.1
OIG Investigative Activity Related to Department of State Programs and Operations,
10/1/2022–3/31/2023

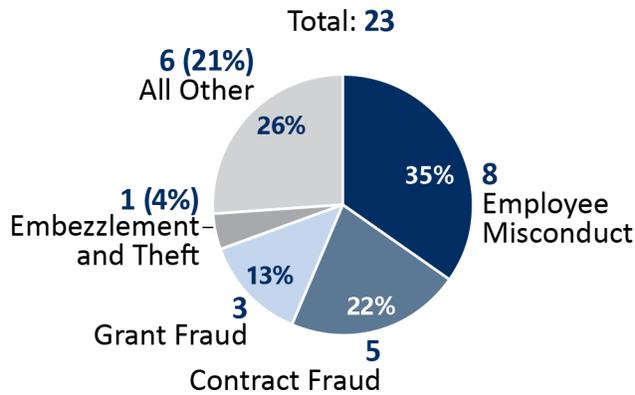
Preliminary Inquiries	
Opened	33
Closed	34
Investigations	
Opened	22
Closed	23
Pending (9/30/2022)	23
Reports Issued ^a	6
Criminal and Civil Actions	
Referrals to the Department of Justice	18
Referrals to Foreign, State, & Local Prosecuting Authorities	0
Arrests	2
Indictments/Informations ^b	3
Convictions	3
Civil Judgments/Settlements	2
Administrative Actions	
Removals (Resignation & Termination)	4
Suspensions/Revocations (Employee & Security Clearance)	5
Reprimands/Admonishments/Other	4
Investigative Monetary Results	
Civil Settlement	\$628,000
Cost Recovery	\$0
Criminal Forfeiture	\$535,644
Fines and Penalties	\$200
Funds Put to Better Use (Cost Savings)	\$0
Restitution	\$849,979
Suspensions and Debarments	
Contractors/Grantees Suspended	0
Employees/Contractors/Grantees Debarred	4
Outreach	
Fraud Awareness Briefings	34

Note: The figures in this table were derived from a defined search of the Office of Investigations' electronic case management system for the period from October 1, 2022, through March 31, 2023, based upon specific administrative action categories.

^a The number represents final Reports of Investigation issued during the reporting period. The same Report of Investigation may have been issued to more than one Department bureau or office and may include multiple subjects for possible administrative actions.

^b The number represents all indictments, criminal informations, and complaints that occurred during the reporting period. All indictments and criminal informations result from referral to prosecuting authorities. The referral may have occurred in a prior reporting period.

Figure 9.1
OIG Investigations Closed, 10/1/2022–3/31/2023

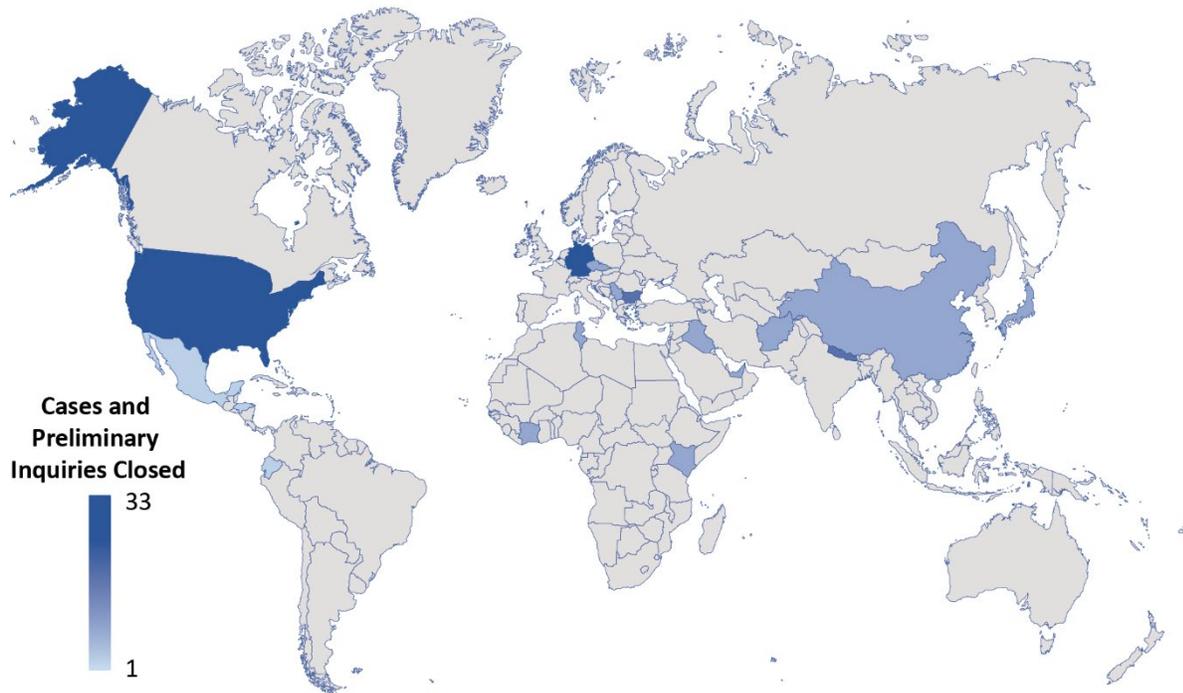


OIG’s investigative efforts span the globe. As shown in Figure 9.2 and Table 9.2, of the 57 cases and preliminary inquiries closed this reporting period, 58 percent concerned activity in the United States, and 42 percent concerned activity in other countries.

Note: Figures may not equal 100 percent due to rounding.

Source: Office of Investigations electronic case management system.

Figure 9.2
Department-Related Cases and Preliminary Inquiries Closed, by Country, 10/1/2022–3/31/2023



Source: Office of Investigations electronic case management system.

Table 9.2
Preliminary Inquiries and Cases Closed, 10/1/2022–3/31/2023

Type	Domestic	Overseas
Preliminary Inquiries Closed	22	12
Cases Closed	11	12
Total	33	24

Source: Office of Investigations electronic case management system.

Investigative Highlights

In February 2023, a former locally employed staff member agreed to pay a \$128,000 civil settlement to resolve allegations he defrauded the U.S. government. OIG special agents and embassy officials determined that from October 2010 through February 2018, the subject knowingly caused the U.S. embassy to make 87 fraudulent payments totaling \$451,172. He committed the fraud by disguising the payments as building operating and parking expenses for residential units leased by the U.S. embassy.

In December 2022, a subject pleaded guilty to one count of conspiracy to commit wire fraud and will pay \$535,643.83 in restitution for his role in a scheme to defraud the government. OIG and other federal partners determined that the subject and co-conspirator impersonated annuitants, misrepresented themselves to Department officials, and diverted annuity payments to an unknown third party.

In November 2022, a Department contract company agreed to pay a \$500,000 settlement to resolve allegations that it violated the False Claims Act by failing to provide and properly document required basic training for personnel working on a local guard force contract.

In October 2022, an individual was sentenced to 24 months' probation and ordered to pay \$314,335 in restitution for her role in a business email compromise scheme. Previously, this individual and two co-conspirators, who were sentenced last April, pled guilty to charges based on their involvement in the scheme. OIG and FBI special agents determined the individuals tricked the Department and a non-profit agency into wiring at least \$575,000 into bank accounts they controlled for the purpose of enriching themselves and their co-conspirators. It is estimated the Department and U.S. Grains Council lost over \$281,000 because of the scheme.

Investigations Involving Senior Government Employees

During the reporting period, OIG closed two investigations involving senior government employees where allegations of misconduct were substantiated. They are summarized below in Table 9.3. Other than the investigations described in Table 9.3, the OIG Office of Investigations has no investigations to report involving senior government employees that were substantiated and closed during the reporting period but not disclosed to the public.

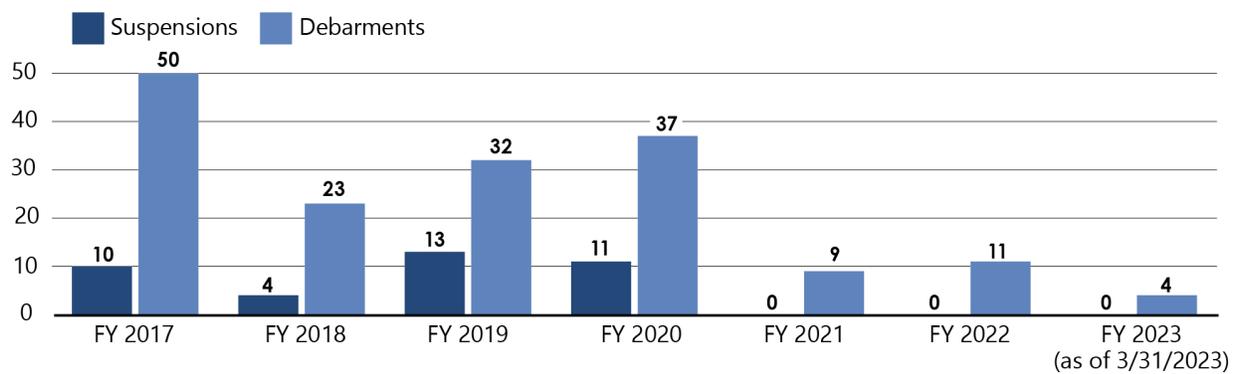
Table 9.3
Investigations Involving Senior Government Employees Where Allegations Were Substantiated,
10/1/2022–3/31/2023

Description	Status	DOJ Referral & Response	Closed Date
C2019-033			
In April 2019, OIG and Homeland Security Investigations opened an investigation based on information that a Diplomatic Security Special Agent purchased an item regulated under U.S. Federal law.	The investigation substantiated the claim and revealed the employee was acting in his personal capacity when he purchased the controlled item.	On August 8, 2019, the case was declined by DOJ in lieu of administrative action. On January 31, 2023, the Department suspended the employee for 2 days for a display of poor judgement.	3/2023
C2021-032			
In July 2021, OIG opened an investigation based on information that a U.S. Ambassador created a hostile work environment by raising his voice and verbally abusing Embassy personnel.	The investigation developed evidence to support that administrative violations may have occurred, to include engaging in unwanted physical contact, exhibiting threatening behavior towards subordinate employees, and discriminatory harassment.	OIG did not develop evidence to support that a criminal or civil violation occurred, and therefore this case was not presented for prosecutorial consideration. The Ambassador resigned prior to the Department taking administrative action.	12/2022

Suspensions and Debarments

Figure 9.3 shows suspension and debarment action taken by the Department as a result of OIG referrals from FY 2017 to FY 2023.

Figure 9.3
Suspension and Debarment Action Taken by the Department as a Result of OIG Referrals, FY 2017–FY 2023



Source: Office of Investigations electronic case management system.

10 FINANCIAL RESULTS OF OIG WORK RELATED TO THE DEPARTMENT OF STATE

In the reports it issued this reporting period, OIG identified roughly \$220 million in potential monetary benefits to the Department. Also, the Department made management decisions on approximately \$16 million in recommendations involving questioned costs or funds that could be put to better use. At the close of this reporting period, the Department had not yet made a management decision on approximately \$845 million in questioned costs or funds that could be put to better use, as identified by OIG. OIG determines that a management decision on questioned costs and funds put to better use has been made and

reports such a determination when OIG receives and accepts sufficient, specific evidence during the compliance process.

For the status of recommendations involving questioned costs in OIG reports on Department programs and operations, see Table 10.1. For the status of recommendations involving funds that could be put to better use, see Table 10.2. For a summary of consolidated Department and USAGM totals of potential monetary benefits identified by OIG during this reporting period, see Section 5 of this report.

OIG Financial Lexicon

Questioned Costs

Costs that are questioned by OIG because of an alleged violation of a provision; costs not supported by adequate documentation; or a finding that the expenditure of funds for the intended purpose is unnecessary or unreasonable.

Not Disallowed (i.e., Allowed):

A cost questioned by OIG that management has decided should be charged to the government.

Disallowed:

A cost questioned by OIG that management has agreed should not be charged to the government.

Funds Put to Better Use

Funds that could be used more efficiently if management took actions to implement and complete the recommendation.

Agreed:

The dollar value of recommendations that management agreed to implement.

Disagreed:

The dollar value of recommendations that management disagreed with implementing.

Table 10.1
Status of OIG Recommendations to the Department of State Including Questioned Costs,
10/1/2022–3/31/2023

	# Reports	Questioned Costs (\$ Thousands)	Unsupported Costs ^a (\$ Thousands ^b)
A. No management decision made by start of the reporting period	5	\$384,989	\$0
B. Issued during the reporting period			
Total issued during the reporting period	0	\$0	\$0
Total (A+B)	5	\$384,989	\$0
C. Management decision made during the reporting period			
(i) Disallowed costs		\$12,962	\$0
(ii) Costs not disallowed		\$1,390	\$0
Subtotal	2	\$14,352	\$0
D. Total remaining for decision as of the end of the reporting period [(A+B) – Subtotal C]	4^c	\$370,638	\$0
E. Additional monetary benefits achieved as the result of prior OIG recommendations	0	\$0	\$0

^a “Unsupported Costs” is a subset of “Questioned Costs.”

^b Dollar amounts may be affected by rounding.

^c Count of remaining reports cannot be directly derived from the preceding report counts above because some reports include more than one recommendation with monetary benefits. The 4 reports noted in the table contain 5 recommendations without a management decision at the end of the period.

Table 10.2
Status of OIG Recommendations to the Department of State Recommending Funds Be Put to Better Use, 10/1/2022–3/31/2023

	# Reports	Funds Put to Better Use (\$ Thousands ^a)
A. No management decision made by start of the reporting period	19	\$255,741
B. Issued during the reporting period		
<i>Inspection of Embassy Khartoum, Sudan</i>		\$50
<i>Inspection of the Bureau of International Narcotics and Law Enforcement Affairs</i>		\$220,000
<i>Inspection of Embassy Ottawa and Constituent Posts, Canada</i>		\$244
Total issued during the reporting period	3	\$220,294
Total (A+B)	19	\$476,035
C. Management decision made during the reporting period		
(i) Dollar value of recommendations agreed to by management		\$1,116
(ii) Dollar value of recommendations not agreed to by management		\$508
Subtotal	3	\$1,632
D. Total remaining for decision as of the end of the reporting period [(A+B) – Subtotal C]	19^b	\$474,412
E. Additional monetary benefits achieved as the result of prior OIG recommendations	4	\$149

^a Dollar amounts may be affected by rounding.

^b Count of remaining reports cannot be directly derived from the preceding report counts above because some reports include more than one recommendation with monetary benefits. The 19 reports noted in the table contain 21 recommendations without a management decision at the end of the period.

Office of Management and Budget Single Audits

Office of Management and Budget Single Audits OMB’s Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (2 CFR Part 200) establishes audit requirements for state and local governments, colleges and universities, and nonprofit organizations receiving Federal awards. Under 2 CFR Part 200, covered entities that expend \$750,000 or more a year in Federal funds must obtain an annual organization wide “single audit” that includes the entity’s financial statements and compliance with Federal award requirements. These audits are conducted by non-Federal auditors, such as public accounting firms and state auditors.

OIG reviews selected audit reports for findings and questioned costs related to

Department awards to ensure that the reports comply with the requirements of OMB related to single audits. OIG’s oversight of non-Federal audit activity informs Federal managers about the soundness of the management of Federal programs and identifies any significant areas of internal control weaknesses, noncompliance, and questioned costs for resolution or follow-up.

In this reporting period, OIG’s Office of Audits conducted follow-up on questioned costs of approximately \$28,000 referred to program officials for resolution in prior periods.

As shown in Table 10.3, DOS made no decisions during this period to allow or disallow the questioned costs.

Table 10.3
Inspector General-Referred Single Audit Reports With Questioned Costs

Recommendation Status	# Reports	Questioned Costs (\$ Thousands)*
A. For which no management decision had been made by the commencement of the reporting period	1	\$28
B. Issued during the reporting period	0	\$0
Subtotal Questioned Costs (A+B)	1	\$28
C. For which a management decision was made during the reporting period		
(i) Disallowed costs	0	\$0
(ii) Costs not disallowed	0	\$0
Total Management Decisions	0	\$0
D. For which no management decision has been made by the end of the reporting period [(A+B) – Subtotal C]	1	\$28

* Dollar amounts may be affected by rounding.

Defense Contract Audit Agency Audits

The Office of Acquisitions Management (AQM) provided OIG information concerning Department contract awards. AQM's Business Operations Division initiates and coordinates audits with the Defense Contract Audit Agency (DCAA) to support Contracting Officers. Questioned costs that

are identified represent the total of all questioned dollars in DCAA audit reports issued during this reporting period. The management decision captures the resolution of audit reports made by the Contracting Officer during this reporting period to allow or disallow questioned costs. OIG did not verify, or otherwise audit, the values shown in Table 10.4 for the information AQM provided.

Table 10.4
Defense Contract Audit Agency Audit Reports With Questioned Costs

Recommendation Status	# Reports	Questioned Costs (\$ Thousands ^a)
A. For which no management decision had been made by the commencement of the reporting period	8	\$26,596
B. Issued during the reporting period		\$193
<i>Independent Audit Report on PAE Government Services Inc.'s Proposed Amounts on Unsettled Flexibly Priced Contracts for FY 2020 (2020P10100004, November 18, 2022)</i>		
<i>Independent Audit Report on AAR Corporate Home Office's Proposed Amounts on Unsettled Flexibly Priced Contracts for Contractor Fiscal Year (CFY) 2021 (2021D10100031, March 3, 2023)</i>		\$839
Total Issued During the Reporting Period	2 ^b	\$1,032
Subtotal Questioned Costs (A+B)	10	\$27,628
C. For which management decision was made during the reporting period		
(i) Disallowed Costs		\$591
(ii) Costs Not Disallowed		\$0
Total Management Decisions	1 ^c	\$591
D. For which no management decision has been made by the end of the reporting period	9	\$27,037

^a Dollar amount may be affected by rounding.

^b Number excludes four additional DCAA reports issued during the reporting period that AQM reported as having no questioned costs.

^c Number includes the number of reports with full management decisions on all remaining questioned costs and excludes the number of reports with pending questioned costs. In the prior Semiannual Report to the Congress, Audit Report 2019M10100015 Independent Report on AAR - Worldwide Aviation Support Services Proposed Amounts on Unsettled Flexibly Priced Contracts for Contractor Fiscal Year (CFY) 2019 (July 27, 2021) listed a partial management decision as \$10,756,304 (Allowed). Due to subsequent negotiations, the Management Decision amounts were revised to \$10,642,018 (Allowed) and \$114,286 (Disallowed). The adjustment to prior period reporting is not reflected in the table because it does not pertain to management decisions reported this period.

11 COMPLIANCE

OIG tracks and documents the status of OIG report recommendations and agency follow-up actions. OMB Circular A-50 requires prompt resolution and corrective action on OIG recommendations. Specifically, the circular states that resolution shall be made within 6 months after the final report is issued, with corrective action proceeding as rapidly as possible.

The tables in Appendix D provide detailed information about the status of OIG open recommendations. Tables D.1 through D.3 identify unresolved and resolved but unimplemented recommendations associated with unclassified reports issued prior to October 1, 2022, as well as each recommendation's associated potential monetary benefits. Table D.4 highlights a number of recommendations that were closed during the reporting period.



OVERSIGHT OF U.S. AGENCY FOR GLOBAL MEDIA PROGRAMS AND OPERATIONS

12 OFFICE OF AUDITS

The Office of Audits supports the U.S. Agency for Global Media (USAGM) by auditing its programs and operations and making recommendations to improve management, strengthen integrity and accountability, and ensure the most efficient, effective, and economical use of resources. Audit work focuses on such areas of concern as financial management and information security and management practices. From October 1, 2022, to March 31, 2023, the Office of Audits issued three publicly available reports related to USAGM programs and operations, which are summarized below.

Contracts, Grants, and Infrastructure

Information Report: United States Agency for Global Media 2022 Charge Card Risk Assessment
(AUD-CGI-IB-23-06, 10/2022)

OIG conducted a risk assessment of the USAGM purchase card program and concluded that the risk of illegal, improper, or erroneous use in the USAGM purchase card program is “very low.” This conclusion is based on the USAGM’s purchase card program size, internal controls, training, previous audits, and INV observations.

On the basis of the results of this assessment, OIG did not recommend an audit of USAGM’s purchase card program be included in OIG’s work plan. However, OIG encouraged USAGM officials to continue prudent oversight of the purchase card program.

Financial Management

Management Letter Related to the Audit of the U.S. Agency for Global Media FY 2022 Financial Statements
(AUD-FM-IB-23-11, 2/2023)

During the audit of the USAGM FY 2022 financial statements, the independent external auditor identified weaknesses relating to obligation validity and accuracy.

Independent Auditor’s Report on the U.S. Agency for Global Media FY 2022 and FY 2021 Financial Statements
(AUD-FM-IB-23-08, 11/2022)

An independent external auditor, working on behalf of and under the direction of OIG, audited USAGM annual financial statements as of, and for the years ended, September 30, 2022 and 2021. The external auditor found that the financial statements present fairly, in all material respects, the financial position of USAGM as of September 30, 2022 and 2021, and its net cost of operations, changes in net position, and budgetary resources for the years then ended, in accordance with accounting principles generally accepted in the U.S.

The external auditor found one significant deficiency in internal control over financial reporting related to grantee monitoring. In addition, the external auditor identified one instance of reportable noncompliance with provisions of laws, regulations, contracts, and grant agreements regarding federal grant regulations.

13 OFFICE OF INSPECTIONS

The Office of Inspections inspects USAGM programs and operations to help identify systemic issues, use resources efficiently, and ensure the safety of personnel. Areas of specific concern include the allocation of financial resources and compliance with federal regulations. From October 1, 2022, to March 31, 2023, OIG issued one inspection report related to USAGM programs and operations, which is summarized below.

U.S. Agency for Global Media

Targeted Inspection of the U.S. Agency for Global Media: Editorial Independence and Journalistic Standards and Principles (ISP-IB-23-01, 10/2022)

At the request of Congress, OIG conducted a targeted inspection to assess how USAGM and its five broadcasting entities (networks) complied with 22 Code of Federal Regulations (C.F.R.) Part 531, Statutory Firewall and Highest Standards of Professional Journalism. OIG also assessed whether USAGM and network staff followed internal policies and procedures intended to ensure adherence to the highest standards of professional journalism. OIG found that 22 C.F.R. Part 531 did not add sufficient clarity for USAGM and network staff to consistently define violations or ensure compliance during the short period of time the regulation was in effect. OIG also found that USAGM's internal procedures to address firewall issues and violations were outdated. With respect to USAGM and the networks' current adherence to the "highest standards of professional journalism," OIG found that

USAGM and network leadership communicated and modeled support for editorial independence and journalistic standards, although the Office of Cuba Broadcasting (OCB) could have more consistently communicated its priorities and objectives.

Since February 2021, USAGM leadership had taken steps to improve oversight of internal controls governing journalistic standards, including issuing updated guidance in May 2021. Furthermore, OIG found the networks generally had appropriate oversight of editorial controls, program reviews, and procedures to respond to violations of journalistic standards and principles, with exceptions at OCB and the Voice of America.

Finally, regarding training on journalistic standards, OIG found that although all networks delivered training on standards, the quality and frequency varied.

OIG made recommendations to improve USAGM and network compliance with editorial independence and journalistic standards and principles.

Spotlight on Success: In line with Radio Free Europe/Radio Liberty's editorial policy for covering conflicts between countries in its area of coverage, the Armenian and Azerbaijan language services cooperated during the conflict in Nagorno-Karabakh in 2020 to ensure balanced and unbiased reporting.

14 OFFICE OF INVESTIGATIONS

The Office of Investigations conducts worldwide investigations of criminal, civil, and administrative misconduct related to USAGM programs and operations. For a summary of OIG investigative activity related to USAGM programs and operations this reporting period, see Table 14.1.

Investigative Highlights

In February 2022, a co-conspirator of a former USAGM Contracting Officer (CO) who was previously sentenced to 2 years in prison for fraud was sentenced to 1 year and 1 day in federal prison after pleading guilty to conspiracy to defraud the government. OIG and FBI special agents had detected fraud involving the CO and two other individuals from late 2014 to 2016. The CO provided preferential treatment that

included the awarding of a professional staffing contract worth millions of dollars and the steering of the procurement process to benefit the co-conspirators and a contracting firm.

Investigations Involving Senior Government Employees

During the reporting period, the Office of Investigations did not conduct any investigations involving senior government employees at USAGM where OIG substantiated allegations of misconduct. This office also has no investigations to report involving senior government employees that were substantiated and closed during the reporting period but not disclosed to the public.

Table 14.1
OIG Investigative Activity Related to the U.S Agency for Global Media,
10/1/2022–3/31/2023

Preliminary Inquiries	
Opened	3
Closed	2
Investigations	
Opened	0
Closed	1
Pending (9/30/2022)	1
Reports Issued	0
Criminal and Civil Actions	
Referrals to the Department of Justice	1
Referrals to Foreign, State, & Local Prosecuting Authorities	0
Arrests	0
Indictments/Informations ^a	0
Convictions	2
Civil Judgments/Settlements	0
Administrative Actions	
Removals (Resignation & Termination)	0
Suspensions/Revocations (Employee & Security Clearance)	0
Reprimands/Admonishments/Other	0
Investigative Monetary Results	
Civil Settlement	\$0
Cost Recovery	\$0
Criminal Forfeiture	\$0
Fines and Penalties	\$100
Funds Put to Better Use (Cost Savings)	\$0
Restitution	\$0
Suspensions and Debarments	
Contractors/Grantees Suspended	0
Employees/Contractors/Grantees Debarred	0
Outreach	
Fraud Awareness Briefings	0

Note: The figures in this table were derived from a defined search of the Office of Investigations' electronic case management system for the period from October 1, 2022, through March 31, 2023, based upon specific administrative action categories.

^a The number represents all indictments, criminal informations, and complaints that occurred during the reporting period. All indictments and criminal informations result from referral to prosecuting authorities. The referral may have occurred in a prior reporting period.

15 FINANCIAL RESULTS OF OIG WORK RELATED TO THE U.S. AGENCY FOR GLOBAL MEDIA

In the reports it issued this reporting period, OIG did not identify potential monetary benefits to USAGM. USAGM had no open recommendations involving questioned costs or funds put to better use from this or previous reporting periods that required a

management decision. OIG determines that a management decision on questioned costs and funds put to better use has been made and reports such a determination when OIG receives and accepts sufficient, specific evidence during the compliance process.

OIG Financial Lexicon

Questioned Costs

Costs that are questioned by OIG because of an alleged violation of a provision; costs not supported by adequate documentation; or a finding that the expenditure of funds for the intended purpose is unnecessary or unreasonable.

Not Disallowed (i.e., Allowed):

A cost questioned by OIG that management has decided should be charged to the government.

Disallowed:

A cost questioned by OIG that management has agreed should not be charged to the government.

Funds Put to Better Use

Funds that could be used more efficiently if management took actions to implement and complete the recommendation.

Agreed:

The dollar value of recommendations that management agreed to implement.

Disagreed:

The dollar value of recommendations that management disagreed with implementing.

Office of Management and Budget Single Audits

OMB's Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (2 CFR Part 200) establishes audit requirements for state and local governments, colleges and universities, and nonprofit organizations receiving Federal awards. Under 2 CFR Part 200, covered entities that expend \$750,000 or more a year in Federal funds must obtain

an annual organization-wide "single audit" that includes the entity's financial statements and compliance with Federal award requirements. These audits are conducted by non-Federal auditors, such as public accounting firms and state auditors.

During this reporting period, there were no audit reports with findings and questioned costs for which USAGM was required to issue management decisions.

16 COMPLIANCE

OIG tracks and documents the status of OIG report recommendations and agency follow-up actions. OMB Circular A-50 requires prompt resolution and corrective action on OIG recommendations. Specifically, the circular states that resolution shall be made within 6 months after the final report is

issued, with corrective action proceeding as rapidly as possible.

Table E.1 reports the status of open OIG recommendations to USAGM as of March 31, 2023.



APPENDICES

APPENDIX A: OIG REPORTS RELATED TO DEPARTMENT OF STATE PROGRAMS AND OPERATIONS

Table A.1
OIG Reports Related to Department of State Programs and Operations, 10/1/2022–3/31/2023

Report #	Report Title	Date
Office of Audits		
AUD-FM-23-14	<i>Management Letter Related to the Audit of the International Boundary and Water Commission, United States and Mexico, U.S. Section, FY 2022 Financial Statements</i>	3/2023
AUD-FM-23-13	<i>Independent Auditor's Report on the International Boundary and Water Commission, United States and Mexico, U.S. Section, FY 2022 and FY 2021 Financial Statements</i>	2/2023
AUD-FM-23-12	<i>Management Letter Related to the Audit of the U.S. Department of State FY 2022 Financial Statements</i>	2/2023
AUD-MERO-23-10	<i>Ukraine Supplemental Appropriations Act, 2023 Mandated Assessment</i>	1/2023
AUD-MERO-23-09	<i>Information Report: Countering Russian Influence Fund</i>	12/2022
OIG-23-01	<i>Oversight Observations to Inform the Department of State Ukraine Response</i>	12/2022
AUD-FM-23-07	<i>Independent Auditor's Report on the U.S. Department of State FY 2022 and FY 2021 Financial Statements</i>	11/2022
AUD-CGI-23-05	<i>Information Report: International Boundary and Water Commission, United States and Mexico, U.S. Section, 2022 Charge Card Risk Assessment</i>	10/2022
AUD-CGI-23-04	<i>Information Report: Department of State 2022 Travel Charge Card Risk Assessment</i>	10/2022
AUD-CGI-23-03	<i>Information Report: Department of State 2022 Purchase Charge Card Risk Assessment</i>	10/2022
AUD-FM-23-02	<i>Independent Accountant's Report on the Application of Agreed-Upon Procedures: Employee Benefits, Withholdings, Contributions, and Supplemental Semiannual Headcount Reporting Submitted to the Office of Personnel Management</i>	10/2022
AUD-MERO-23-01	<i>Compliance Follow-Up Review of the Afghan Special Immigrant Visa Program</i>	10/2022
Office of Evaluations and Special Projects		
ESP-23-01	<i>Review of Challenges in the Afghan Placement and Assistance Program</i>	3/2023

Report #	Report Title	Date
Office of the Executive Director		
OIG-EX-23-02	<i>Inspector General Statement on the Department of State's Major Management and Performance Challenges</i>	11/2022
OIG-EX-23-01	<i>Inspector General Statement on the U.S. Agency for Global Media's Major Management and Performance Challenges</i>	11/2022
Office of Inspections		
ISP-I-23-13	<i>Inspection of Embassy Khartoum, Sudan</i>	3/2023
ISP-I-23-08	<i>Inspection of the Bureau of International Narcotics and Law Enforcement Affairs</i>	1/2023
ISP-I-23-03	<i>Inspection of Embassy La Paz, Bolivia</i>	1/2023
ISP-I-23-06	<i>Inspection of Embassy Buenos Aires, Argentina</i>	12/2022
ISP-I-23-07	<i>Inspection of Embassy Kuwait City, Kuwait</i>	11/2022
ISP-I-23-05	<i>Inspection of the Afghanistan Affairs Unit</i>	11/2022
ISP-I-23-04	<i>Inspection of Embassy Ottawa and Constituent Posts, Canada</i>	10/2022
ISP-I-23-02	<i>Targeted Inspection of the U.S. Mission to the International Civil Aviation Organization in Montreal, Canada</i>	10/2022

Table A.2
OIG Reports Related to Department of State Programs and Operations and Summarized in the Department of State Classified Annex to the Semiannual Report to the Congress, 10/1/2022–3/31/2023

Report #	Report Title	Date
Office of Audits		
MA-23-01	<i>Management Alert: Technical Security Issues at U.S. Embassy Kyiv, Ukraine, Require Immediate Attention</i>	3/2023
Office of Inspections		
ISP-S-23-11	<i>Classified Inspection of Brussels Tri-Mission, Belgium</i>	3/2023
ISP-S-23-06	<i>Classified Inspection of Embassy Buenos Aires, Argentina</i>	12/2022
ISP-S-23-05A	<i>Classified Annex to the Inspection of the Afghanistan Affairs Unit</i>	11/2022
ISP-S-23-03	<i>Classified Inspection of Embassy La Paz, Bolivia</i>	10/2022

APPENDIX B: OIG REPORTS RELATED TO U.S. AGENCY FOR GLOBAL MEDIA PROGRAMS AND OPERATIONS

Table B.1
OIG Reports Related to U.S. Agency for Global Media Programs and Operations,
10/1/2022–3/31/2023

Report #	Report Title	Date
Office of Audits		
AUD-FM-IB-21-11	<i>Management Letter Related to the Audit of the U.S. Agency for Global Media FY 2022 Financial Statements</i>	2/2023
AUD-FM-IB-23-08	<i>Independent Auditor’s Report on the U.S. Agency for Global Media FY 2022 and FY 2021 Financial Statements</i>	11/2022
AUD-CGI-IB-23-06	<i>Information Report: U.S. Agency for Global Media 2022 Charge Card Risk Assessment</i>	10/2022
Office of Inspections		
ISP-IB-23-01	<i>Targeted Inspection of the U.S. Agency for Global Media: Editorial Independence and Journalistic Standards and Principles</i>	10/2022

APPENDIX C: PEER REVIEWS

The Office of Inspector General (OIG) conducts oversight activities to help ensure that the Department of State, the U.S. Section of the International Boundary and Water Commission, United States and Mexico, the U.S. Agency for Global Media manage and execute programs and operations as efficiently and effectively as possible. To underscore the importance of operational efficiency and effectiveness, OIG is measured by industry standards and best practices set forth by the oversight community, represented by the Council of the Inspectors General on Integrity and Efficiency (CIGIE). As part of this shared internal control system, CIGIE requires OIGs to conduct and undergo periodic external peer reviews, and the Inspector General Act of 1978 requires the results of these peer reviews to be published in this *Semiannual Report to the Congress*.

Office of Audits

The Office of Audits (AUD) did not undergo a peer review related to Government Auditing Standards during this reporting period. The date of the most recent peer review to determine accordance with such standards was March 15, 2022, and has no outstanding recommendations.

Quality Standards for Inspection and Evaluation Peer Review

During this reporting period, OIG conducted an external peer review of the Inspection and Evaluation (I&E) organization of the U.S. Department of Energy OIG. The final report of the external peer review, issued on December 6, 2022, stated that Energy OIG's policies and procedures were generally consistent with the CIGIE standards, and the four Energy OIG reports reviewed generally complied with the standards.

Neither the Office of Evaluations and Special Projects (ESP), the Office of Inspections (ISP), nor AUD underwent a peer review related to I&E during the reporting period. The date of the most recent peer review to determine accordance with such standards was June 15, 2020. There were no recommendations. ESP, ISP, and AUD are currently undergoing an I&E peer review by the Environmental Protection Agency OIG. The review is scheduled to be completed by September 30, 2023.

Office of Investigations

The Office of Investigations (INV) did not undergo a peer review during this reporting period. The Office of Investigations was last peer reviewed in July 2018 and has no outstanding recommendations. Due to the impact of COVID, the INV peer review scheduled for 2022 was rescheduled by CIGIE to 2025.

APPENDIX D: DEPARTMENT OF STATE COMPLIANCE

Tables D.1 through D.4 report the status of open Office of Inspector General (OIG) recommendations to the Department of State (Department) as of March 31, 2023, as well as certain recommendations that were closed during the reporting period. OIG

continues to work with Department officials to resolve and close the recommendation.

The current action offices listed in some of the recommendations may have changed since the recommendations were issued in the original reports.

OIG Compliance Lexicon

Open

Unresolved: No agreement between OIG and management on the recommendation or proposed corrective action.

Resolved: Agreement on the recommendation and proposed corrective action but implementation has not been completed.

Closed

Agreed-upon corrective action is complete.

Table D.1

Open Office of Audits Recommendations Issued in Previous Periods, Pending Final Department of State Action, as of 3/31/2023

Significant Open Recommendations	Potential Monetary Benefits
<i>Audit of Nonexpendable Personal Property at U.S. Embassy Baghdad, Iraq</i> (AUD-MERO-22-44, 9/2022)	
Recommendation 2: OIG recommends that the Bureau of Administration reconcile differences between the definitions for accountable property contained in the Integrated Logistics Management System – Annual Inventory Modernization module and 14 Foreign Affairs Manual 416.1 and communicate to overseas posts what property should be included in posts’ annual inventories.	N/A
Recommendation 10: OIG recommends that U.S. Embassy Baghdad, Iraq, determine (a) how many of the 15,785 nonexpendable property assets, valued at \$7.6 million, were used, how many remain in storage, and how many have been disposed of, along with the reasons for disposal, and (b) whether maintaining these 15,785 assets in storage is necessary and reasonable.	\$7,600,000
Recommendation 14: OIG recommends that Embassy Baghdad, Iraq, in coordination with the Bureau of Administration, develop, implement, document, and communicate a policy and procedures to provide information and periodic training to U.S. Embassy Baghdad staff who manage nonexpendable property on the capabilities and proper use of the Integrated Logistics Management System – Analytics module	N/A

Significant Open Recommendations	Potential Monetary Benefits
to ensure that the embassy efficiently manages and utilizes existing nonexpendable property at post and properly procures nonexpendable property that the embassy needs.	
<i>Audit of the Bureau of Diplomatic Security's Oversight of Contractor Performance and Invoice Processing for the Domestic Guard Services Contract (AUD-SI-22-37, 9/2022)</i>	
Recommendation 4: OIG recommends that the Bureau of Diplomatic Security (a) determine whether the \$361,627,297 in questioned costs spent on Contract 19AQMM19C0007 are supported and allowable and (b) recover any costs determined to be unsupported and unallowable.	\$361,627,297
<i>Audit of Department of State Efforts To Promote Competition for Overseas Construction Projects (AUD-CGI-22-34, 8/2022)</i>	
Recommendation 1: OIG recommends that the Bureau of Overseas Buildings Operations (OBO), in coordination with the Bureau of Administration, develop and implement processes to ensure acquisition planning, including the development and submission of written acquisition plans, is performed in accordance with federal regulations and Department of State policy for construction projects.	N/A
Recommendation 2: OIG recommends that the Bureau of Overseas Buildings Operations (OBO), in coordination with the Bureau of Administration, implement the use of "sources sought" notices for construction contracts approximately 9–12 months in advance of issuing the Phase I Notice of Solicitation of Submissions for Contractor Prequalification to ensure the Department of State is initiating dialogue with industry stakeholders early in the acquisition process.	N/A
<i>Audit of Department of State Actions To Prevent Unlawful Trafficking in Persons Practices When Executing Security, Construction, and Facility and Household Services Contracts at Overseas Posts (AUD-MERO-22-28, 5/2022)</i>	
Recommendation 5: OIG recommends that the Bureau of Administration, in coordination with the Foreign Service Institute (FSI), update Department of State (Department) trafficking in persons (TIP) training to clarify that TIP monitoring is required for all applicable contracts, not just those that employ third-country national or migrant labor.	N/A
Recommendation 6: OIG recommends that the Bureau of Administration, in coordination with the Foreign Service Institute (FSI), update Department of State (Department) trafficking in persons (TIP) training to include discussions of Federal Acquisition Regulation (FAR) 52.222-50(h) requirements for contractors to maintain TIP compliance plans and Department guidance and requirements for reviewing the plans and using them to inform TIP contract monitoring strategies established in accordance with Recommendation 3.	N/A

Significant Open Recommendations	Potential Monetary Benefits
<i>Audit of the Department of State Management and Monitoring of Federal Assistance Awards to For-Profit Organizations</i> (AUD-CGI-22-26, 5/2022)	
Recommendation 1: OIG recommends that the Bureau of Administration revise the Federal Assistance Directive to require Grants Officers (GO) and Grants Officer Representatives (GOR) to fully use the State Assistance Management System Domestic's Post-Award Activities function to upload and review documentation for all post-award tasks, such as the review of Performance Progress Reports and Federal Financial Reports, GOR reports, annual reviews, other monitoring activities; and to document workflows for submitting, reviewing, and monitoring post-award activities among the GO, GOR, and award recipient.	N/A
Recommendation 2: Concurrent with the implementation of Recommendation 1, OIG recommends that the Bureau of Administration require bureaus to perform quarterly reviews of the State Assistance Management System Domestic's Post-Award Activities function reports. The reviews should verify that Grants Officers and Grants Officer Representatives have complied with oversight requirements such as the review of Performance Progress Reports and Federal Financial Reports, GOR reports, annual reviews, and other monitoring activities.	N/A
Recommendation 3: Concurrent with the implementation of Recommendation 1, OIG recommends that the Bureau of Administration require bureaus to designate an official to review the State Assistance Management System's Post-Award Activities function reports to verify that Grants Officers and Grants Officer Representatives comply with oversight requirements.	N/A
Recommendation 4: OIG recommends that the Bureau of Administration modify the State Assistance Management System user training to include guidance on documenting the Grants Officer and Grants Officer Representative workflows within the State Assistance Management System using the Post-Award Activities function.	N/A
<i>Audit of Noncompetitive Contracts in Support of Overseas Contingency Operations in Afghanistan and Iraq</i> (AUD-MERO-22-03, 10/2021)	
Recommendation 2: OIG recommends that the Bureau of Administration develop and implement internal controls to ensure Contracting Officers comply with Federal Acquisition Regulation Subpart 6.305, Office of the Procurement Executive Procurement Implementation Bulletin 2014-11, and Office of Acquisitions Management Memorandum 16-16 regarding providing public notice of noncompetitive contracts and maintaining proof of public notice in contract files.	N/A
<i>Compliance Follow-Up Audit of the Department of State Process To Select and Approve IT Investments</i> (AUD-IT-21-34, 8/2021)	
Recommendation 4: OIG recommends that the Bureau of Administration develop and implement a methodology for identifying requisitions of or exceeding \$10,000 that have not been properly identified as IT-related acquisitions.	N/A

Significant Open Recommendations	Potential Monetary Benefits
<p><i>Management Assistance Report: Improved Guidance and Acquisition Planning is Needed to Reduce the Use of Bridge Contracts in Afghanistan and Iraq</i> (AUD-MERO-21-37, 7/2021)</p>	
<p>Recommendation 2: OIG recommends that the Bureau of Administration, Office of the Procurement Executive, develop and implement a process to identify and elevate to the Assistant Secretary of Administration, who serves as the appointed agency Chief Acquisition Officer, instances when the acquisition planning process is adversely impacted due to insufficient program office participation so the Chief Acquisition Officer can engage with the impacted program office bureau’s assistant secretary to resolve delays in pursuing full and open competition for contracts in overseas contingency environments.</p>	N/A
<p><i>Audit of Department of State Protocols for Establishing and Operating Remote Diplomatic Missions</i> (AUD-MERO-21-33, 7/2021)</p>	
<p>Recommendation 15: OIG recommends that the Under Secretary for Political Affairs, in coordination with the Bureaus of African Affairs, Western Hemisphere Affairs, and Near Eastern Affairs, develop and implement a process to collect, compile, and share best practices, lessons learned, and advice to inform the establishment and operations of future missions that have temporarily established operations outside of their respective host countries.</p>	N/A
<p><i>Audit of Foreign Per Diem Rates Established by the Department of State</i> (AUD-FM-21-31, 6/2021)</p>	
<p>Recommendation 13: After implementing Recommendation 12, OIG recommends that the Bureau of Administration recalculate the foreign per diem rates for the locations based on the revised methodology.</p>	N/A
<p>Recommendation 14: OIG recommends that the Bureau of Administration complete its efforts to upgrade and update its eAllowances application. As part of this effort, the Bureau of Administration should ensure that posts are able to upload supporting documentation, such as reservation logs, into the application.</p>	N/A
<p><i>Audit of the Department of State’s Unarmored Overseas Motor Vehicle Fleet</i> (AUD-SI-21-13, 1/2021)</p>	
<p>Recommendation 1: OIG recommends that the Bureau of Administration, in coordination with the Fleet Management Council, develop and implement a detailed vehicle program plan that (a) contains clear, measurable goals and objectives; (b) establishes internal controls within all facets of the motor vehicle program; and (c) defines areas of authority, roles, and responsibilities for personnel responsible for carrying out the motor vehicle program.</p>	N/A
<p>Recommendation 3: OIG recommends that the Bureau of Administration update the Foreign Affairs Manual to require use of the internal controls developed in response to Recommendation 1 in this OIG report.</p>	N/A
<p>Recommendation 4: OIG recommends that the Bureau of Administration (a) conduct a staffing study of its Office of Logistics Management, Office of Program Management and Policy, Overseas Fleet Division, to determine appropriate staffing</p>	N/A

Significant Open Recommendations	Potential Monetary Benefits
<p>levels, whether its staff have requisite fleet management expertise, and whether staff are in the right positions to perform the duties for which they are assigned; and (b) develop and implement a plan to address the results of the study.</p>	
<p>Recommendation 21: OIG recommends that the Bureau of Administration complete the development of and implement detailed standard operating procedures to assist posts in completing vehicle disposals and forms. These procedures should include guidance on conducting periodic checks of disposal forms, entering information into the Integrated Logistics Management System, and performing disposal analyses (Recommendation 20).</p>	N/A
<p><i>Audit of Monitoring and Evaluating Department of State Foreign Assistance in the Philippines (AUD-MERO-19-39, 9/2019)</i></p>	
<p>Recommendation 24: OIG recommends that the Office of U.S. Foreign Assistance Resources enforce evaluation policies by developing and implementing an oversight plan to verify that bureaus and offices that are administering foreign assistance funding are implementing and adhering to the Foreign Aid Transparency and Accountability Act of 2016, the Foreign Affairs Manual (18 FAM 301.4), and the corresponding Guidance for the Design, Monitoring and Evaluation Policy at the Department of State, January 2018. This plan should include, at a minimum, a requirement to verify that definitions of “programs” and “large programs” are consistent with the FAM and a requirement to verify that Bureau Evaluation Plans are developed and implemented in accordance with existing guidance and by the established deadlines.</p>	N/A
<p><i>Management Assistance Report: Modernizing Processes To Maintain Overseas Buildings Operations Commissioning Documentation Is Needed (AUD-MERO-19-31, 6/2019)</i></p>	
<p>Recommendation 1: OIG recommends that the Bureau of Overseas Buildings Operations (1) identify industry best practices for automating commissioning documentation, (2) develop an electronic commissioning platform, and (3) conduct a pilot program using the electronic platform that would allow commissioning tests to be created digitally and test results saved to an online repository.</p>	N/A
<p><i>Audit of the Department of State Implementation of Policies Intended To Counter Violent Extremism (AUD-MERO-19-27, 6/2019)</i></p>	
<p>Recommendation 1: OIG recommends that the Bureau of African Affairs develop and implement standard operating procedures to align its regional strategy objectives, sub-objectives, and performance indicators for countering violent extremism with Department of State and Bureau of Counterterrorism and Countering Violent Extremism strategies, goals, and objectives.</p>	N/A
<p>Recommendation 5: OIG recommends that the Bureau of Counterterrorism and Countering Violent Extremism, in coordination with the Office of the Legal Adviser, provide written guidance for developing and implementing programs and projects intended to rehabilitate and reintegrate former violent extremists into society in a</p>	N/A

Significant Open Recommendations	Potential Monetary Benefits
manner consistent with U.S. laws prohibiting material support to terrorists and terrorist organizations.	
<i>Audit of Cost Controls Within the Baghdad Life Support Services Contract Food Services Task Order SAQMMA14F0721</i> (AUD-MERO-18-55, 8/2018)	
Recommendation 2: OIG recommends that the Bureau of Near Eastern Affairs incorporate requirements for food services cost controls and a contractor cost control plan into the new worldwide support services contract and develop an acquisition plan that addresses their implementation and comprehensive oversight.	N/A
<i>Audit of the Bureau of European and Eurasian Affairs Administration and Oversight of Selected Contracts and Grants</i> (AUD-CGI-18-50, 8/2018)	
Recommendation 4: OIG recommends that the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management, develop and implement procedures to verify that Contracting Officers are developing quality assurance surveillance plans for all service contracts and monitoring Contracting Officers Representatives adherence to the quality assurance surveillance plans.	N/A
<i>Aspects of the Invoice Review Process Used by the Bureau of Near Eastern Affairs to Support Contingency Operations in Iraq Need Improvement</i> (AUD-MERO-17-33, 3/2017)	
Recommendation 7: OIG recommends that the Bureau of Near Eastern Affairs, develop reliable, objective, clear, and measurable performance metrics and include these metrics in all current and future Bureau of Near Eastern Affairs Iraq contracts.	N/A
<i>Audit of the Oversight of Fuel Acquisition and Related Services Supporting Department of State Operations in Iraq</i> (AUD-MERO-17-16, 12/2016)	
Recommendation 5: OIG recommends that the Bureau of Near Eastern Affairs conduct a study to determine the appropriate numbers of trained, technically experienced, and certified oversight personnel required to oversee the Baghdad Life Support Services and Operations and Maintenance Support Services contracts, to include specific consideration of 2-year assignments, required Federal Acquisition Certification for Contracting Officer's Representatives certification levels, and appointment of additional assistant contracting officer's representatives.	N/A
<i>Audit of the Department of State Travel Card Program</i> (AUD-CGI-16-48, 9/2016)	
Recommendation 1: OIG recommends that the Bureau of the Comptroller and Global Financial Services develop, implement, and publish policies in the Foreign Affairs Manual and/or Foreign Affairs Handbook governing cash advances on Department-issued travel cards, specifically regarding the timing and dollar value of cash advances that may be obtained.	N/A
Recommendation 4: OIG recommends that the Bureau of the Comptroller and Global Financial Services establish controls to identify Department employees eligible to	N/A

Significant Open Recommendations	Potential Monetary Benefits
receive a travel card who travel more than two times in a 12-month period and verify that they obtain and use a Department-issued travel card for all official travel-related expenses.	
Recommendation 5: OIG recommends that the Bureau of the Comptroller and Global Financial Services develop and implement split disbursement procedures as required by the Office of Management and Budget for all Department-issued travel card holders.	N/A
<i>Audit of the Department of State Process To Select and Approve Information Technology Investments (AUD-FM-16-31, 3/2016)</i>	
Recommendation 11: OIG recommends that the Bureau of Information Resource Management develop and implement policies and procedures to oversee and enforce requirements for bureaus and offices to avoid duplicative IT investments.	N/A
Recommendation 25: OIG recommends that the Bureau of Information Resource Management, in coordination with the Bureau of Budget and Planning, develop and implement a process to validate the completeness of the data in iMatrix. At a minimum, this process should include an analysis of IT expenditures in the financial management system to ensure expenditures are reported in iMatrix, as needed.	N/A
Recommendation 26: OIG recommends that the Bureau of Information Resource Management, in coordination with the Bureau of Budget and Planning, develop and implement a process to validate the accuracy of data in iMatrix. This could include developing and implementing analytical procedures to identify anomalies in iMatrix data.	N/A
Open Recommendations	Potential Monetary Benefits
<i>Audit of Nonexpendable Personal Property at U.S. Embassy Baghdad, Iraq (AUD-MERO-22-44, 9/2022)</i>	
Recommendation 1: OIG recommends that U.S. Embassy Baghdad, Iraq, establish, implement, document, and communicate, to those conducting the annual physical inventory, a policy and procedures to ensure that the annual physical inventory includes all accountable property at the embassy, as required by 14 Foreign Affairs Manual 416.1.	N/A
Recommendation 3: OIG recommends that U.S. Embassy Baghdad, Iraq, develop, implement, document, and communicate a policy and procedures requiring Accountable Property Officers to conduct periodic physical inventory spot checks of nonexpendable property.	N/A
Recommendation 4: OIG recommends that U.S. Embassy Baghdad, Iraq, develop, implement, document, and communicate a policy and procedures to secure the keys and limit access to the destruction yard holding area at the Baghdad Diplomatic Support Center to only those people who need access to the area.	N/A
Recommendation 5: OIG recommends that U.S. Embassy Baghdad, Iraq, develop, implement, document, and communicate a policy and procedures requiring that, upon receipt, all (a) nonexpendable property is promptly inspected, documented, and affixed	N/A

Open Recommendations	Potential Monetary Benefits
with a barcode label and (b) identifying information is added into the Integrated Logistics Management System – Asset Management module in a timely manner.	
Recommendation 6: OIG recommends that U.S. Embassy Baghdad, Iraq, take actions to ensure that all existing property in storage facilities has been (a) affixed with a barcode label and (b) added into the Integrated Logistics Management System – Asset Management module.	N/A
Recommendation 7: OIG recommends that the Bureau of Administration conduct a review of the integration of the Integrated Logistics Management System – Asset Management and the Computerized Maintenance Management System to ensure that all updated information is being communicated between systems.	N/A
Recommendation 8: OIG recommends that U.S. Embassy Baghdad, Iraq, develop, implement, document, and communicate a policy and procedures to designate sufficient staff with access to the Integrated Logistics Management System to maintain continuous property management operations in each office responsible for managing nonexpendable property.	N/A
Recommendation 11: OIG recommends that U.S. Embassy Baghdad, Iraq, develop, implement, document, and communicate a policy and procedures, consistent with 14 Foreign Affairs Manual 412, to require that personnel responsible for purchasing clearly demonstrate a bona fide need supporting the purchase of nonexpendable property.	N/A
Recommendation 12: OIG recommends that U.S. Embassy Baghdad, Iraq, develop, implement, document, and communicate a policy and procedures for nonexpendable property reordering, consistent with 14 Foreign Affairs Handbook-1 H-419.1(a) that prevents the embassy from carrying an excessive amount of nonexpendable property, establishes a reasonable stocking level, and limits replenishment orders to only maintain that level.	N/A
Recommendation 13: OIG recommends that U.S. Embassy Baghdad, Iraq, develop, implement, document, and communicate a policy and procedures to require the use of the Integrated Logistics Management System – Analytics module to manage and utilize existing nonexpendable property at post and to properly plan the procurement of nonexpendable property that the embassy needs.	N/A
<i>Audit of Department of State Emergency Action Plans at Selected Domestic Facilities (AUD-SI-22-36, 9/2022)</i>	
Recommendation 20: OIG recommends that the Bureau of Administration (a) conduct a review to determine whether requiring facility emergency action plans (FEAP) for facilities where the Department of State is not the primary occupant agency is necessary and, (b) if it is determined that these plans are not necessary, revise its internal guidance accordingly and notify domestic facilities about the policy change.	N/A
<i>(Audit of Department of State FY 2022 Compliance With the Geospatial Data Act of 2018 (AUD-SI-22-39, 8/2022))</i>	
Recommendation 1: OIG recommends that the Bureau of Intelligence and Research review and revise, as appropriate, existing Work Breakdown Schedule and implementation plans to adequately reflect current and future staffing, resources, and	N/A

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allocation of responsibilities to facilitate implementation of the Geospatial Data Act of 2018.	
Recommendation 2: OIG recommends that the Bureau of Intelligence and Research (a) review the Department of State’s efforts and activities for each of the applicable covered agency responsibilities prescribed by the Geospatial Data Act of 2018, Section 759(a), codified at 43 United States Code § 2808(a), and (b) develop a timeline to craft and issue written policies, procedures, guidance, and best practices to meet each of these applicable covered agency responsibilities.	N/A
Recommendation 3: OIG recommends that the Bureau of Intelligence and Research finalize the draft “Large Scale International Boundary Update Process Documentation” and approve the document for use.	N/A
Recommendation 4: OIG recommends that the Bureau of Intelligence and Research develop and implement a plan that maximizes the use of data obtained from its communication tools by (a) addressing the concerns identified by survey respondents and (b) prioritizing tasks necessary to complete Geospatial Data Act of 2018 requirements.	N/A
<i>Audit of Department of State Efforts To Promote Competition for Overseas Construction Projects (AUD-CGI-22-34, 8/2022)</i>	
Recommendation 3: OIG recommends that the Bureau of Administration develop and implement a mechanism to require the use of the acquisition plan template attached to AQM Memorandum 15-10.	N/A
<i>Management Assistance Report: Support From the Under Secretary for Political Affairs Is Needed To Facilitate the Closure of Recommendations Addressed to the Bureau of Near Eastern Affairs (AUD-AOQC-22-33, 8/2022)</i>	
Recommendation 2: OIG recommends that until all 11 recommendations (see Appendix A for a list of the 11 recommendations) addressed to the Bureau of Near Eastern Affairs (NEA) referenced in this report (AUD-AOQC-22-33) are implemented and closed, the Under Secretary for Political Affairs monitor NEA’s compliance responses to OIG and verify, at least quarterly, that the responses (a) are provided to OIG within the established due dates and (b) contain complete and accurate status updates that include either evidence of implementation or detailed corrective action plans with actual or proposed target dates for achieving these actions.	N/A
<i>Audit of Internal Controls Managing the Procurement of Goods at U.S. Embassy Freetown, Sierra Leone (AUD-MERO-22-31, 7/2022)</i>	
Recommendation 3: OIG recommends that U.S. Embassy Freetown, Sierra Leone, review its procurement files, identify those (estimated at approximately 3,800) that should be closed, and closeout the procurement files accordingly.	N/A
<i>Audit of Department of State Actions To Prevent Unlawful Trafficking in Persons Practices When Executing Security, Construction, and Facility and Household Services Contracts at Overseas Posts (AUD-MERO-22-28, 5/2022)</i>	
Recommendation 1: OIG recommends that the Bureau of Administration update guidance to clearly communicate to Department of State contracting personnel which	N/A

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training courses are approved and available to meet the annual trafficking in persons (TIP) training requirement.	
Recommendation 3: OIG recommends that the Bureau of Administration update Department of State guidance including the Foreign Affairs Handbook (FAH) and applicable Procurement Information Bulletins (PIB) to clarify that trafficking in persons monitoring, including developing and implementing trafficking in persons (TIP) monitoring strategies, is required for all applicable contracts, not just those that employ third-country national or migrant labor.	N/A
Recommendation 4: OIG recommends that the Bureau of Administration develop and implement guidance that Contracting Officers (CO) and Contracting Officer’s Representatives (COR) review contractor trafficking in persons compliance plans to determine whether their content meets requirements of Federal Acquisition Regulation (FAR) 52.222-50(h) and to inform required trafficking in persons (TIP) monitoring strategies.	N/A
Recommendation 7: OIG recommends that the Bureau of Administration instruct Contracting Officers (CO) with oversight responsibility for active contracts in OIG’s nonstatistical subsample, listed in Table A.4, to review contractor trafficking in persons (TIP) compliance plans associated with these contracts and determine whether to apply any of the remedies outlined in Federal Acquisition Regulation (FAR) 52.222-50(e) based on contractors’ failure to adhere to FAR 52.222-50(h) requirements.	N/A
<i>Audit of the Department of State Management and Monitoring of Federal Assistance Awards to For-Profit Organizations</i> (AUD-CGI-22-26, 5/2022)	
Recommendation 6: Following implementation of Recommendation 5, OIG recommends that the Bureau of Administration analyze the results of the survey to identify the reasons hindering the use of State Assistance Management System Domestic’s Post-Award Activities function, including technical issues that need to be addressed and if appropriate, develop and implement a corrective action plan to increase the use of the State Assistance Management System Domestic’s Post-Award Activities function.	N/A
Recommendation 8: OIG recommends that the Bureau of Administration develop and implement a communications strategy that provides Grants Officer Representatives with specific and detailed requirements for financial monitoring to improve their understanding of and compliance with those requirements. This communications strategy should underscore the importance of reviewing the Federal Financial Report to ensure that the amount of funds expended is commensurate with the work accomplished on the award.	N/A
Recommendation 9: OIG recommends that the Bureau of Administration develop and implement a communications strategy that provides Grants Officers with specific and detailed requirements for financial monitoring to improve their understanding of and compliance with those requirements. This communications strategy should underscore the critical importance of reviewing and approving the Federal Financial Report in a	N/A

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<p>timely manner within the Payment Management System, rather than allowing a default approval. It should further remind Grants Officers that Grants Officer Representatives could be delegated Federal Financial Report approval responsibility within the Payment Management System to ensure timely approval of reports.</p>	
<p>Recommendation 11: OIG recommends that the Bureau of Administration develop and implement a communications strategy that provides Grants Officers with specific and detailed audit requirements for foreign for-profit organizations to improve their understanding of and compliance with those requirements. This communications strategy should underscore the critical importance of requiring, obtaining, and reviewing audit reports to inform risk assessments and to formulate monitoring plans.</p>	N/A
<p><i>Audit of the Process To Prepare Residences for New Tenants at U.S. Embassy Cairo, Egypt (AUD-MERO-22-23, 3/2022)</i></p>	
<p>Recommendation 1: OIG recommends that U.S. Embassy Cairo, Egypt, develop and implement standard operating procedures to monitor and verify contractor compliance with provisions for accepting receipt, maintaining control, and accounting for the use of Government-furnished materials.</p>	N/A
<p>Recommendation 2: OIG recommends that U.S. Embassy Cairo, Egypt, develop and implement standard operating procedures for managing and monitoring expendable supplies. This should include defining (1) the types of data that should be used by supply managers to inform decisions about ordering new supplies, (2) the respective responsibilities of other sections to collaborate on efforts to identify frequently used or critical items that may need to be ordered on a regular basis, and (3) the requirement to conduct regular reviews of existing stock to identify old or unused items for disposal.</p>	N/A
<p>Recommendation 3: OIG recommends that U.S. Embassy Cairo, Egypt, develop and implement a process to provide initial and, as needed, refresher training on the Integrated Logistics Management System (ILMS) to those locally employed staff in the Supply and Property Management Section who regularly use ILMS during their day-to-day responsibilities.</p>	N/A
<p>Recommendation 4: OIG recommends that U.S. Embassy Cairo, Egypt, reinforce the requirement, through actions such as training for Facility Management Office staff and approving officials, to explain why an item is urgently needed on petty cash request forms.</p>	N/A
<p>Recommendation 5: OIG recommends that U.S. Embassy Cairo, Egypt, develop and implement a process to regularly review a sample of approved petty cash voucher packages to determine whether they were approved and processed in accordance with post policy and take actions where necessary to correct instances that do not comply.</p>	N/A
<p>Recommendation 6: OIG recommends that U.S. Embassy Cairo, Egypt, execute its plan to move frequently used expendable supplies to existing warehouse locations that are closer to the place where they will be used in order to reduce the need for petty cash requests.</p>	N/A

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Recommendation 7: OIG recommends that U.S. Embassy Cairo, Egypt, conduct a workforce analysis of the Facility Management Office to inform staffing needs and to determine if the current amount of overtime used is appropriate.	N/A
Recommendation 8: OIG recommends that U.S. Embassy Cairo, Egypt, using the results of a workforce analysis, develop and communicate guidance on the types of tasks or circumstances that would generally require the use of overtime among Facility Management Office staff.	N/A
Recommendation 9: OIG recommends that U.S. Embassy Cairo, Egypt, reinforce the requirement, through actions such as training for Facility Management Office staff and approving officials, to include a justification on overtime on request forms in accordance with 3 Foreign Affairs Manual, 7550, "Premium Compensation," which states that overtime should only be approved as an emergency measure or to meet a temporary crisis.	N/A
Recommendation 10: OIG recommends that U.S. Embassy Cairo, Egypt, develop and implement a process to regularly review a sample of approved overtime forms to determine whether they were approved and processed in accordance with post policy and take actions necessary to correct instances that do not comply.	N/A
Recommendation 11: OIG recommends that U.S. Embassy Cairo, Egypt, develop and implement a standard operating procedure outlining the minimum type of work that should be performed for each make-ready project and the conditions that should be considered before completing additional work or if further approval is required before completing the work.	N/A
Recommendation 12: OIG recommends that U.S. Embassy Cairo, Egypt, in collaboration with the Bureau of Overseas Buildings Operations (OBO), develop and implement a standard operating procedure describing the steps for completing and entering work orders in the Global Maintenance Management System (GMMS), including identifying the minimum types of information and level of detail that must be recorded in each work order.	N/A
Recommendation 13: OIG recommends that U.S. Embassy Cairo, Egypt, develop and implement standard operating procedures that include a process for conducting periodic spot checks of information recorded in the Global Maintenance Management System (GMMS) to verify that the information recorded is reasonable, complete and in compliance with established standards as outlined in Recommendation 12.	N/A
Recommendation 14: OIG recommends that U.S. Embassy Cairo, Egypt, (1) evaluate the feasibility of hiring a Facility Data Analyst, and (2) collaborate with the Bureau of Overseas Buildings Operations to determine how to use the position to improve the collection and analysis of data recorded in the Global Maintenance Management System if it decides to hire a Facility Data Analyst.	N/A
Recommendation 15: OIG recommends that Embassy Cairo, Egypt, establish and implement a process to (1) track materials used, and costs associated with the make-ready process in the Integrated Logistics Management System, (2) conduct periodic reviews of the information to determine whether materials used, and costs associated	N/A

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with the make-ready process are reasonable, and (3) take corrective actions, as appropriate, when they are not.	
Recommendation 16: OIG recommends that U.S. Embassy Cairo, Egypt, develop and implement a standard operating procedure for the Procurement and Contracting Section that outlines steps to be taken when procuring goods and services, including determining price reasonableness, completing pre-award reviews and checklists, and adhering to e-Filing requirements for storing documentation associated with purchase card transactions.	N/A
Recommendation 17: OIG recommends that U.S. Embassy Cairo, Egypt, establish procedures to ensure compliance with Federal Acquisition Regulation 16.505(b)(1)(ii)(E) which states that cost should be considered as one of the selection factors when making decisions about awarding task orders for make-ready and other services below the micro-purchase threshold.	N/A
Recommendation 18: OIG recommends that Embassy Cairo, Egypt, establish controls to attribute time of only those staff who directly perform make-ready services under the make-ready sub-cost center, in accordance with the guidance outlined in 6 Foreign Affairs Handbook-5, H-341.15-11(C), "Residential Make-Ready – Time Allocation."	N/A
Recommendation 19: OIG recommends that Embassy Cairo, Egypt, discontinue the practice of using carryover funds for make-ready projects, and that it accounts for supplies, tools, and equipment used in support of its make-ready projects under the make-ready sub-cost center, consistent with the guidance outlined in 6 Foreign Affairs Handbook-5 H-341.15-11(B), "Residential Make-Ready – Budgeted Costs."	N/A
Recommendation 22: OIG recommends that U.S. Embassy Cairo, Egypt, continue to develop and implement additional mechanisms to encourage and support the free exchange of ideas and criticism in the Management Section in accordance with 2 Foreign Affairs Manual 111.1-1, "Openness in Post Operations and Management" and Standards for Internal Control in the Federal Government. Such mechanisms could include regular one-on-one meetings between U.S. direct-hire supervisors and their locally employed staff, with the assistance of an American translator to identify specific areas of concern when feasible; the use of anonymous drop boxes; or other appropriate, confidential, feedback processes.	N/A
Recommendation 23: OIG recommends that senior leaders in the Management Section at U.S. Embassy Cairo, Egypt, work with U.S. direct-hire supervisors to (1) take additional steps to foster constructive relationships in accordance with 3 Foreign Affairs Manual 1214, "Leadership and Management Principles for Department Employees" by messaging the importance of teamwork, community, and open dialogue, and (2) remind employees of the Department prohibition against retaliation for disclosing wrongdoing in accordance with 3 Foreign Affairs Manual 4329, "Limitations on Use of Disciplinary Action."	N/A
Recommendation 24: OIG recommends that U.S. Embassy Cairo, Egypt, collaborate with the Foreign Service Institute to identify additional resources and support to	N/A

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address issues impacting collaboration, morale, and interpersonal relationships in the Management Section at post.	
<i>Audit of the Department of State’s Use of “Not Otherwise Classified” Budget Object Codes (AUD-FM-22-21, 2/2022)</i>	
Recommendation 1: OIG recommends that the Bureau of the Comptroller and Global Financial Services develop and implement a methodology to periodically review and update the budget object codes (BOC) included in the Foreign Affairs Handbook (4 FAH-1 H-613). This methodology should include collaborating with other bureaus to assist in identifying BOCs that are not needed, new BOCs that bureaus believe would be beneficial, or changes to the definitions of existing BOCs.	N/A
Recommendation 2: OIG recommends that the Bureau of the Comptroller and Global Financial Services (CGFS) develop and implement guidance on the use of “not otherwise classified” budget object codes. As part of the process to develop guidance, CGFS should obtain information from bureaus on specific topics that the bureaus believe need authoritative guidance.	N/A
Recommendation 3: OIG recommends that the Bureau of the Comptroller and Global Financial Services develop and implement a communications strategy to periodically provide updates and reminders on the use of budget object codes, including “not otherwise classified” budget object codes.	N/A
<i>Management Assistance Report: Internal Controls Are Needed To Safeguard Inherently Governmental Functions at the Global Engagement Center (AUD-MERO-22-19, 2/2022)</i>	
Recommendation 2: OIG recommends that the Global Engagement Center review all active cooperative agreements and grants to verify whether the award provisions comply with Office of Management and Budget Policy Letter 11-01 requirements regarding inherently Governmental functions and U.S. Federal employee decision-making authority over all programmatic aspects of the awards and, for those that do not, revise the award provisions to comply with those requirements.	N/A
Recommendation 3: OIG recommends that the Global Engagement Center review the assigned roles, authorities, and responsibilities of its award management team members (Federal employees and third-party contractors) to determine (a) authorities and responsibilities that are inherently Governmental functions and (b) whether those authorities and responsibilities are assigned to or performed by team members who are not Federal employees or Personal Service Contractors, as appropriate.	N/A
Recommendation 4: OIG recommends that the Global Engagement Center transfer to Federal employees or Personal Service Contractors, as appropriate, those authorities and responsibilities found to be inherently Governmental functions that are currently assigned to third-party contractors (as determined by the review in Recommendation 3).	N/A
Recommendation 5: OIG recommends that the Global Engagement Center develop and implement a process to verify that inherently Governmental functions are only assigned	N/A

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to and performed by Federal employees or Personal Service Contractors, as appropriate.	
<p>Recommendation 7: OIG recommends that the Global Engagement Center (GEC) develop and implement a process to formally notify GEC award management personnel and award recipients of all roles, authorities, and responsibilities that are inherently Governmental and are only to be assigned to and performed by Federal employees and Personal Services Contractors, as appropriate. The notification process should highlight all revisions to previous guidance and practice, beginning with the revisions to GEC’s Policies and Procedures Manual (Version 1.6, December 2020) following the implementation of Recommendations 1 through 6.</p>	N/A
<p>Recommendation 8: OIG recommends that the Global Engagement Center design and implement controls, rather than relying only on the State Assistance Management System, to ensure that Grants Officers designate a Grants Officer Representative prior to awarding cooperative agreements or grants and throughout the award’s period of performance.</p>	N/A
<p>Recommendation 9: OIG recommends that Global Engagement Center (GEC) Grants Officers implement controls to comply with GEC’s Policies and Procedures Manual (Version 1.6, December 2020), “Post-Award: Changes,” requirements to notify award recipients about changes to Grants Officer Representative (GOR) assignments and furnish award recipients with fully-executed copies of GOR designation memoranda.</p>	N/A
<p><i>Management Assistance Report: Support From the Under Secretary for Management Is Needed To Facilitate the Closure of Office of Inspector General Recommendations Addressed to the Bureau of Information Resource Management</i> (AUD-AOQC-22-07, 12/2021)</p>	
<p>Recommendation 1: OIG recommends that the Under Secretary for Management verify that the Bureau of Information Resource Management (IRM) has developed plans of action and milestones, as required by the National Institute of Standards and Technology, Special Publication 800-53, rev. 4, to address each open OIG recommendation. The plans of action and milestones should document planned remedial actions to correct the deficiencies identified. If the Under Secretary for Management determines that IRM has not developed or maintained plans of action and milestones for each open OIG recommendation, the Under Secretary for Management should direct IRM to take action to comply with standards.</p>	N/A
<p><i>Audit of the Department of State’s Implementation of the Digital Accountability and Transparency Act of 2014</i> (AUD-FM-22-08, 11/2021)</p>	
<p>Recommendation 1: OIG recommends that the Bureau of the Comptroller and Global Financial Services, in coordination with the Bureau of Administration, develop and implement a corrective action plan that identifies the underlying reasons for the deficiencies with overseas data included in the Digital Accountability and Transparency Act Files and that identifies strategies and milestones for implementing them, to address the underlying deficiencies.</p>	N/A

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<p>Recommendation 2: OIG recommends that the Bureau of the Comptroller and Global Financial Services, in coordination with the Bureau of Administration, develop and implement a communication strategy that educates procurement and grant officials about the importance of recording transactions in the Federal Procurement Data System – Next Generation and the Financial Assistance Broker Submission in a complete, accurate, and timely manner.</p>	N/A
<p>Recommendation 3: OIG recommends that the Bureau of the Comptroller and Global Financial Services update its Data Quality Plan related to reconciling Digital Accountability and Transparency Act of 2014 data to ensure that quality control procedures are performed to assess the quality of the data included in all files, including files created from Government-wide systems.</p>	N/A
<p><i>Audit of Noncompetitive Contracts in Support of Overseas Contingency Operations in Afghanistan and Iraq</i> (AUD-MERO-22-03, 10/2021)</p>	
<p>Recommendation 1: OIG recommends that the Bureau of Administration develop and implement additional internal control procedures for monitoring to ensure that justifications and approvals for other than full and open competition are completed and approved in accordance with Federal Acquisition Regulation requirements and Office of the Procurement Executive guidance and that reviewing officials verify that the justifications include all required content.</p>	N/A
<p>Recommendation 3: OIG recommends that the Bureau of Administration (a) determine the feasibility, practicality, and cost-effectiveness of adding functions to the new online application being developed for justifications and approvals that will automatically transfer completed justifications to the electronic contract file and also post the justifications publicly in accordance with the Competition in Contracting Act and the Federal Acquisition Regulation and (b) if determined to be feasible, practical, and cost-effective, incorporate such a function in the application.</p>	N/A
<p>Recommendation 4: OIG recommends that the Bureau of Administration issue guidance requiring that all contracting personnel use the newly created online application for justifications and approvals once the application has been tested and determined to meet the goals established by the Bureau of Administration.</p>	N/A
<p>Recommendation 5: OIG recommends that the Bureau of Administration issue a directive to the Office of Acquisitions Management to update its Quality Assurance Plan to expand the steps necessary to ensure compliance with Federal Acquisition Regulation provisions regarding preparing fair and reasonable price determinations for noncompetitive awards. The steps should include requiring that Contracting Officers use the sample template for price negotiation memoranda and retain evidence of the analysis conducted in support of such determinations in the contract files.</p>	N/A
<p>Recommendation 6: OIG recommends that the Bureau of Administration develop and implement guidance directing all price negotiation memoranda-approving officials certify that price negotiation memoranda address all required elements in compliance with Federal Acquisition Regulation Subpart 15.406-3 before approving them.</p>	N/A

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<p>Recommendation 7: OIG recommends that the Bureau of Administration issue a directive to the Office of Acquisitions Management to update its Memorandum 15-09, “Contract File Documentation,” regarding price negotiation memoranda. The updated memorandum should include instructions for Contracting Officers to verify that all price negotiation memoranda packages are properly approved and placed in the contract file.</p>	N/A
<p>Recommendation 8: OIG recommends that the Bureau of Administration develop and implement additional internal control procedures for monitoring compliance with Federal Acquisition Regulation requirements and Department policy regarding determining and documenting fair and reasonable price determinations and maintaining complete contract files.</p>	N/A
<p><i>Audit of Acquisition Planning and Cost Controls While Transitioning Support Service Contracts in Iraq (AUD-MERO-21-43, 9/2021)</i></p>	
<p>Recommendation 1: OIG recommends that the Bureau of Administration, in coordination with the Bureau of Near Eastern Affairs, discontinue its practice of awarding noncompetitive contracts for Operations Maintenance Support Services and Baghdad Life Support Services. The bureau should award Mission Iraq-specific task orders under the Diplomatic Platform Support Services contract or use an alternative mechanism to competitively replace the Operations and Maintenance Support Services and Bagdad Life Support Services contracts.</p>	N/A
<p>Recommendation 2: OIG recommends that the Bureau of Administration, Office of the Procurement Executive (OPE), in accordance with the Federal Acquisition Regulation 7.104 “General procedures,” disseminate procedures that are described in Office of Acquisition Management Memorandum 15-10 to review acquisition plans with key stakeholders annually or whenever significant changes occur. OPE should also establish additional internal controls to ensure the procedures are followed, such as training personnel on the requirements and minimum documentation requirements for acquisition plan reviews.</p>	N/A
<p>Recommendation 3: OIG recommends that the Bureau of Administration, Office of the Procurement Executive, in accordance with Government Accountability Office Standards for Internal Control in the Federal Government, establish procedures to conduct regular monitoring of internal controls, respond to schedule risks, and engage in effective communication with the program office to identify and address noncompliance with Federal and Department of State criteria, timeliness, and external coordination.</p>	N/A
<p>Recommendation 4: OIG recommends that the Bureau of Administration, Office of the Procurement Executive, implement controls to (a) achieve critical acquisition deadlines on time, including checking the acquisition’s progress and assessing changes in the operating environment and (b) identify contracts that have missed critical deadlines, including Diplomatic Platform Support Services, for Department of State (Department) leadership to review and take action as necessary. Controls should ensure that the Department prioritizes timely acquisitions to achieve cost savings, economies of scale, and efficiencies.</p>	N/A

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<p>Recommendation 7: OIG recommends that the Bureau of Administration, Office of the Procurement Executive (OPE), strengthen its review and approval process and oversight of noncompetitive contract actions awarded, based on Federal Acquisition Regulation 6.302-2, “Unusual and compelling urgency,” related to circumstances permitting other than full and open competition. OPE should put in place controls to ensure those actions are not excessive, such as enforcement of time limitations on the duration of the use of these actions, and use appropriate rationales, which exclude lack of advanced planning. In addition, OPE should provide training, as appropriate, to officials in the review process to ensure controls are followed.</p>	<p>N/A</p>
<p><i>Management Assistance Report: Process To Report Department of State Security Clearance Data to the Office of the Director of National Intelligence Needs Improvement (AUD-MERO-21-41, 9/2021)</i></p>	
<p>Recommendation 3: OIG recommends that the Bureau of Diplomatic Security (a) assign responsibilities to additional personnel for the collection and reporting of Timeliness Data and National Security Metrics and (b) require a secondary level of review prior to submitting the data reported to the Office of the Director of National Intelligence.</p>	<p>N/A</p>
<p><i>Compliance Follow-Up Audit of the Department of State Process To Select and Approve IT Investments (AUD-IT-21-34, 8/2021)</i></p>	
<p>Recommendation 2: OIG recommends that the Bureau of Information Resource Management conduct an in-depth review of the entire agency IT portfolio to identify potential duplicative systems.</p>	<p>N/A</p>
<p>Recommendation 3: OIG recommends that the Bureau of Information Resource Management develop and implement, to the extent practicable, a strategy to combine, eliminate, or replace the duplicative systems identified during its review of the entire agency IT portfolio (Recommendation 2).</p>	<p>N/A</p>
<p><i>Management Assistance Report: Improved Guidance and Acquisition Planning is Needed to Reduce the Use of Bridge Contracts in Afghanistan and Iraq (AUD-MERO-21-37, 7/2021)</i></p>	
<p>Recommendation 1: OIG recommends that the Bureau of Administration, Office of the Procurement Executive amend the Foreign Affairs Handbook to include a subsection in 14 FAH-2 H-320, Acquisition Planning, providing direct guidance on sole source noncompetitive contracts or contract extensions with an existing contractor to bridge the time between the original end of that contractor’s contract and the competitive award of a follow-on contract, including providing definitions to the terms: “bridge contract” or “bridge actions,” the parameters under which these sole source noncompetitive contracts and extensions can be used, and a requirement to provide additional information necessary to justify consecutive uses of a noncompetitive sole source award.</p>	<p>N/A</p>
<p>Recommendation 3: OIG recommends that the Bureau of Administration, Office of the Procurement Executive, revise its Justification for Other than Full and Open Competition (JOFOC) Guide to require that written justification for using less than full and open competition for awarding bridge contracts include information on why and</p>	<p>N/A</p>

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<p>how the delay occurred, what measures have or will be undertaken to resolve the delay, and the milestones to achieving full and open competition.</p>	
<p><i>Audit of Department of State Protocols for Establishing and Operating Remote Diplomatic Missions (AUD-MERO-21-33, 7/2021)</i></p>	
<p>Recommendation 1: OIG recommends that the Under Secretary for Management, in coordination with the regional bureaus, develop and implement guidance to include criteria, standards, and other direction, as appropriate, for opening and closing those missions that may temporarily establish operations outside of their respective host countries. The guidance should include criteria and standards to inform when, where, and how such missions should be established.</p>	<p>N/A</p>
<p>Recommendation 2: OIG recommends that the Under Secretary for Management, in coordination with the regional bureaus, develop guidance requiring regional bureaus to implement a process to periodically reevaluate the status of those missions that have temporarily established operations outside of their respective host countries to determine whether those missions should continue to exist in their current form.</p>	<p>N/A</p>
<p>Recommendation 3: OIG recommends that the Under Secretary for Management, in coordination with the regional bureaus and the Office of the Legal Adviser, develop and implement guidance regarding the creation, content, and periodic reevaluation of memoranda of understanding to facilitate working relationships and resource sharing agreements between those missions that have temporarily established operations outside of their host countries and their respective host missions.</p>	<p>N/A</p>
<p>Recommendation 4: OIG recommends that the Under Secretary for Management, in coordination with the regional bureaus, develop and implement a template for the memoranda of understanding that can be used to facilitate working relationships and resource sharing agreements between those missions that have temporarily established operations outside of their host countries and their respective host missions.</p>	<p>N/A</p>
<p>Recommendation 7: OIG recommends that the Under Secretary for Management, in coordination with the Office of the Legal Adviser and the Bureau of the Comptroller and Global Financial Services, develop and implement guidance in the Foreign Affairs Handbook, 12 FAH-1 Addendum 2.1, for those missions that have temporarily established operations outside of their host countries to consult with the Office of the Legal Adviser, and Bureaus of the Comptroller and Global Financial Services and Overseas Buildings Operations for legal, financial, and operational information to determine retention or disposition of leased property.</p>	<p>N/A</p>
<p>Recommendation 14: OIG recommends that the Under Secretary for Management, in coordination with the regional bureaus, develop and implement guidance regarding the day-to-day operations of remote missions with a focus on those functions that may be impacted by operating remotely such as the provision of consular services, security operations, and managing diplomatic relations.</p>	<p>N/A</p>

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<i>Management Assistance Report: Support From the Under Secretary for Management Is Needed To Facilitate the Closure of Open Office of Audits Recommendations</i> (AUD-AOQC-21-32, 6/2021)	
Recommendation 2: OIG recommends that the Under Secretary for Management verify periodically, but no less than quarterly, that those entities with recommendations that have yet to be implemented and closed are providing status updates and responses to OIG in a timely manner, as required.	N/A
<i>Audit of Foreign Per Diem Rates Established by the Department of State</i> (AUD-FM-21-31, 6/2021)	
Recommendation 4: OIG recommends that the Bureau of Administration review and update all foreign per diem locations designated in eAllowances as exchange rate adjusted in accordance with the guidance developed in response to Recommendation 3.	N/A
Recommendation 11: OIG recommends that the Bureau of Administration review its methodology for determining foreign per diem rates to identify opportunities to streamline the process. At a minimum, the Bureau of Administration should consider whether the use of independent economic data would be more efficient and cost-effective for establishing foreign per diem rates.	N/A
Recommendation 12: OIG recommends that the Bureau of Administration develop and implement a plan to revise the methodology for determining foreign per diem rates based on the results of Recommendation 11.	N/A
<i>Compliance Follow-Up Audit of the Bureau of Near Eastern Affairs Selection and Management of Contract Oversight Personnel in Iraq</i> (AUD-MERO-21-24, 4/2021)	
Recommendation 1: OIG recommends that the Assistant Secretary for the Bureau of Near Eastern Affairs develop and execute an action plan that dedicates appropriate resources to implement Recommendations 1, 2, and 4 from OIG report Audit of the Bureau of Near Eastern Affairs Selection and Management of Contract Oversight Personnel in Iraq (AUD-MERO-19-10, November 2018). The plan should outline the steps and resources necessary to determine the technical expertise in the contract subject matter for Contracting Officer’s Representatives (COR) and Government Technical Monitors (GTM), provide those determinations and requirements to Contracting Officers, and ensure only CORs and GTMs that meet the requirements of the updated study are nominated. The action plan should include milestones to ensure efficient and timely implementation considering the time that has elapsed since the recommendations were made in November 2018.	N/A
Recommendation 4: OIG recommends that the Bureau of Near Eastern Affairs develop and implement a process that includes a secondary review of Contracting Officer’s Representative and Government Technical Monitor written nominations to ensure that technical expertise in the contract subject matter is presented to the Contracting Officer, as required by 14 Foreign Affairs Handbook-2 H-143.2, “COR Appointment Procedures.”	N/A

Open Recommendations	Potential Monetary Benefits
<i>Audit of the Department of State's Unarmored Overseas Motor Vehicle Fleet (AUD-SI-21-13, 1/2021)</i>	
Recommendation 6: OIG recommends that the Bureau of Diplomatic Security, in coordination with the Bureau of Administration, (a) update any bureau-specific motor vehicle procedures in place to comply with the Foreign Affairs Manual and Bureau of Administration guidance within 90 days of final report issuance; and (b) establish a process to review and update these procedures, as appropriate, at least annually thereafter.	N/A
Recommendation 10: OIG recommends that the Bureau of Administration perform an analysis to determine whether (a) posts are legally allowed to standardize locally purchased foreign-made vehicles, (b) it would be cost-beneficial to allow such standardization, and (c) this type of standardization should be allowed.	N/A
Recommendation 11: OIG recommends that, if the Bureau of Administration determines that posts will be allowed to standardize the acquisition of foreign-made vehicles (Recommendation 10), the Bureau of Administration update the Foreign Affairs Manual (14 FAM 436.4) and Department of State Acquisition Regulation (§ 606.370) to formalize this policy.	N/A
Recommendation 13: OIG recommends that the Bureau of Administration, in coordination with the Fleet Management Council, develop and implement a method to accurately record and verify vehicle identification numbers in the Integrated Logistics Management System.	N/A
Recommendation 14: OIG recommends that the Bureau of Administration, in coordination with the Fleet Management Council, (a) conduct a study to determine effective mileage and utilization standards for the Department of State's overseas fleet; and (b) develop and implement a plan to address the results of the study.	N/A
Recommendation 20: OIG recommends that the Bureau of Administration, in coordination with the Fleet Management Council, develop and implement a methodology for posts to use when considering the disposal of an unarmored motor vehicle and codify the methodology in the Foreign Affairs Manual. The methodology should include a quantitative minimum for vehicle age, use, and maintenance costs. The methodology should also require posts to conduct and document a disposal analysis to ensure the vehicle meets the necessary criteria for disposal.	N/A
<i>Management Assistance Report: The Bureau of Diplomatic Security Did Not Always Conduct Post Security Program Reviews Within Required Timeframes (AUD-SI-21-03, 12/2020)</i>	
Recommendation 2: OIG recommends that the Bureau of Diplomatic Security develop and execute a corrective action plan that implements the recommendations of its evaluation (Recommendation 1).	N/A

Open Recommendations	Potential Monetary Benefits
<p><i>Audit of the Department of State Bureau of African Affairs Monitoring and Coordination of the Trans-Sahara Counterterrorism Partnership Program</i> (AUD-MERO-20-42, 9/2020)</p>	
<p>Recommendation 2: OIG recommends that the Bureau of African Affairs develop and implement procedures that ensure personnel charged with monitoring and overseeing the Trans-Sahara Counterterrorism Partnership projects in the countries of performance are formally authorized to perform these functions through designation as a government technical monitor or alternate contracting officer’s representatives in accordance with the Foreign Affairs Handbook Section 14 FAH-2 H-140.</p>	N/A
<p>Recommendation 10: OIG recommends that the Bureau of African Affairs establish and implement memoranda of understanding with the Office of Security Cooperation and other partners, as applicable, to describe roles and responsibilities for coordinating, executing, and monitoring Trans-Sahara Counterterrorism Partnership (TSCTP) projects in the countries where TSCTP is implemented, including establishment of a structure for communicating and coordinating in-country.</p>	N/A
<p><i>Management Assistance Report: Department of State Guidance Does Not Comply With Federal Travel Regulations</i> (AUD-CGI-20-37, 6/2020)</p>	
<p>Recommendation 1: OIG recommends that the Bureau of the Comptroller and Global Financial Services update the Foreign Affairs Manual to reflect the requirements and exemptions found in the Federal Travel Regulation, 41 C.F.R., §§ 301-51.1, 301-51.2, 301-51.3, and 301-51.4.</p>	N/A
<p>Recommendation 2: OIG recommends that the Bureau of the Comptroller and Global Financial Services update the Foreign Affairs Handbook to reflect the requirements and exemptions found in the Federal Travel Regulation, 41 C.F.R., §§ 301-51.1, 301-51.2, 301-51.3, and 301-51.4.</p>	N/A
<p><i>Audit of Selected Internal Controls for the Special Needs Education Allowance</i> (AUD-FM-20-33, 6/2020)</p>	
<p>Recommendation 2: OIG recommends that the Under Secretary for Management develop and publish specific guidance in the Foreign Affairs Manual to clarify guidance on obtaining a medical clearance based on educational needs, as it relates to the Special Needs Education Allowance. At a minimum, the guidance should describe the process to obtain medical clearances for children with special needs.</p>	N/A
<p>Recommendation 13: OIG recommends that the Bureau of Medical Services develop and implement internal policies and procedures to guide the effective use of eMED to document the Special Needs Education Allowance application status. The policies and procedures should ensure accurate, real-time data are available to appropriate Department of State officials.</p>	N/A

Open Recommendations	Potential Monetary Benefits
<i>Audit of Global Engagement Center Federal Assistance Award Management and Monitoring (AUD-MERO-20-26, 4/2020)</i>	
Recommendation 2: OIG recommends that the Global Engagement Center implement to the extent feasible the results of the staffing needs assessment conducted in response to Recommendation 1.	N/A
<i>Audit of the Bureau of Near Eastern Affairs Selection and Management of Contract Oversight Personnel in Iraq (AUD-MERO-19-10, 11/2018)</i>	
Recommendation 1: OIG recommends that the Bureau of Near Eastern Affairs (a) analyze all contracts for which it assigns Contracting Officer’s Representatives and Government Technical Monitors and determine the appropriate level of Federal Acquisition Certification for Contracting Officer’s Representatives, the technical expertise, and other qualifications required; (b) document the analysis and determinations; (c) and provide the determinations to the Contracting Officers assigned to those contracts.	N/A
Recommendation 2: OIG recommends that the Bureau of Near Eastern Affairs compare Contracting Officer’s Representative and Government Technical Monitor nominee qualifications to the analysis conducted for the Bureau’s contracts as noted in Recommendation 1 and only nominate those with the necessary technical expertise and level of Federal Acquisition Certification for Contracting Officer’s Representatives to oversee the contract and hold contractors accountable for quality and cost performance in accordance with contract terms.	N/A
Recommendation 4: OIG recommends that the Bureau of Near Eastern Affairs discontinue the practice of nominating Contracting Officer’s Representatives and Government Technical Monitors who do not meet Level III Federal Acquisition Certification for Contracting Officer’s Representatives and technical expertise requirements for its contracts or obtain a temporary waiver from the Bureau of Administration, Office of the Procurement Executive, as required by Procurement Information Bulletin No. 2012-15.	N/A
<i>Audit of the Department of State’s Administration of its Aviation Program (AUD-SI-18-59, 9/2018)</i>	
Recommendation 25: OIG recommends that Embassy Lima, Peru, develop and implement a documented nationalization plan with clear goals and attainable objectives for the aviation program.	N/A
<i>Audit of the Bureau of European and Eurasian Affairs Administration and Oversight of Selected Contracts and Grants (AUD-CGI-18-50, 8/2018)</i>	
Recommendation 2: OIG recommends that the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management, develop and implement procedures to verify that Contracting Officers are monitoring Contracting Officer’s Representatives files in accordance with Procurement Information Bulletin No. 2014-10.	N/A

Open Recommendations	Potential Monetary Benefits
<i>Audit of the Department of State's Information Technology Configuration Control Board (AUD-IT-17-64, 9/2017)</i>	
Recommendation 4: OIG recommends that the Bureau of Information Resource Management develop and implement guidance for change requests to require and include: (a) minimum testing standards for change requests, (b) instructions that testing be performed in advance of the change request being submitted and that the testing documentation be submitted as part of the change request process, and (c) a clearly defined technical review of the testing documentation that is submitted to verify the documentation complies with minimum standards.	N/A
Recommendation 14: OIG recommends that the Bureau of Information Resource Management develop and implement required, periodic, training for Information Technology Configuration Control Board management and personnel, Bureau Sponsors, Technical Reviewers, Voters, and change request submitters involved in the Information Technology Configuration Control Board process.	N/A
<i>Audit of the Bureau of Diplomatic Security's Administration of the Armored Vehicle Program (AUD-SI-17-21, 2/2017)</i>	
Recommendation 32: OIG recommends that the Bureau of Diplomatic Security update the current armored vehicle policy to define specifically what types of maintenance must be performed under an embassy employee's observation.	N/A
<i>Audit of the Oversight of Fuel Acquisition and Related Services Supporting Department of State Operations in Iraq (AUD-MERO-17-16, 12/2016)</i>	
Recommendation 6: OIG recommends that the Bureau of Near Eastern Affairs use the results of the study from Recommendation 5 to nominate appropriate personnel so contracting officers with the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management, can assign qualified oversight personnel for those contracts and task orders.	N/A
<i>Audit of the Department of State Process To Select and Approve Information Technology Investments (AUD-FM-16-31, 3/2016)</i>	
Recommendation 22: OIG recommends that the Bureau of Information Resource Management, in coordination with the Bureau of Budget and Planning, develop and implement a process to verify that all bureau and office IT investment managers and budget analysts complete the respective training courses related to IT capital planning and reporting that are provided annually.	N/A
Recommendation 28: OIG recommends that the Bureau of Information Resource Management develop and implement a process to verify that bureaus and offices are submitting source documents to support the information entered into iMatrix in accordance with the policy developed that requires bureaus and offices to submit source documents that support the information entered into iMatrix.	N/A

Table D.2
Open Office of Evaluations and Special Projects Recommendations Issued in Previous Periods,
Pending Final Department of State Action, as of 3/31/2023

Significant Open Recommendations	Potential Monetary Benefits
<i>Review of Allegations of Improper Passport Seizures at Embassy Sana'a, Yemen</i> (ESP-19-01, 10/2018)	
Recommendation 1: The Bureau of Consular Affairs, Office of Passport Services, in conjunction with the Bureau of Diplomatic Security, should develop centralized, searchable databases to track and manage passport revocation cases, as well as retentions of passports and other documents seized on suspicion of fraud when citizens apply for consular services, or under other circumstances, and to track confiscations of such documents if they are seized on grounds other than retention authority.	N/A
Open Recommendations	Potential Monetary Benefits
<i>Evaluation of the Department of State's Compliance with the Authorities Act</i> (ESP-22-04, 9/2022)	
Recommendation 1: The Under Secretary for Management should develop standard guidance for all reporting entities, clarifying which allegations the Department should report to OIG under the Authorities Act and defining the terms "serious misconduct" and "head of the Department entity," and include affected stakeholders in the development of the guidance.	N/A
Recommendation 2: The Under Secretary for Management should develop a standard approach to tracking reporting dates to ensure compliance with the law and update internal guidance accordingly.	N/A
<i>Review of the Recruitment and Selection Process for Public Members of Foreign Service Selection Boards</i> (ESP-22-02, 5/2022)	
Recommendation 8: The Bureau of Administration should direct its Contracting Officer for Foreign Service Selection Board public contracts to comply with all FAR requirements, including requirements on notices, justifications, and determination of pricing.	N/A
<i>Evaluation of the Department of State's Security Clearance Process</i> (ESP-17-02, 7/2017)	
Recommendation 5: The Bureau of Diplomatic Security, Office of Personnel Security and Suitability should determine the actual cost of the security clearance work it performs for other Government agencies in order to fully recoup its expended funds, in accordance with the Economy Act and Department policy.	N/A

Table D.3
Open Office of Inspections Recommendations Issued in Previous Periods, Pending Final Department of State Action, as of 3/31/2023

Significant Open Recommendations	Potential Monetary Benefits
<i>Management Assistance Report: Department Can Take Further Steps to Improve Executive Direction of Overseas Missions</i> (ISP-21-14, 6/2021)	
Recommendation 1: The Director General of the Foreign Service and Director of Global Talent should institute annual surveys of U.S. direct-hire employees and locally employed staff to provide feedback on chief of mission performance.	N/A
<i>Inspection of Embassy London and Constituent Posts, United Kingdom</i> (ISP-I-20-12, 8/2020)	
Recommendation 9: Embassy London, in coordination with the Bureaus of the Comptroller and Global Financial Services, Global Talent Management, and European and Eurasian Affairs, should implement a plan to fund current liabilities and reduce future liabilities in the locally employed staff defined benefit pension plan in order to comply with local labor law and address long-term funding concerns.	N/A
<i>Inspection of Embassy Canberra and Constituent Posts, Australia</i> (ISP-I-20-07, 2/2020)	
Recommendation 7: Embassy Canberra, in coordination with the Bureaus of Overseas Buildings Operations and East Asian and Pacific Affairs, should dispose of property numbers R48 and R49 at Kalgoorlie Crescent in accordance with Department guidelines and put up to \$896,849 to better use.	\$896,849
<i>Inspection of Embassy N'Djamena, Chad</i> (ISP-I-20-02, 11/2019)	
Recommendation 5: The Bureau of Overseas Buildings Operations, in coordination with Embassy N'Djamena and the Bureau of African Affairs, should develop and implement a strategy that prioritizes the disposal of Embassy N'Djamena's excess real property, in order to put funds estimated at \$7.1 million to better use.	\$7,100,000
<i>Inspection of Embassy Port-au-Prince, Haiti</i> (ISP-I-19-18, 6/2019)	
Recommendation 20: The Bureau of Overseas Buildings Operations, in coordination with Embassy Port-au-Prince, should connect the "Stecher-Roumain" housing compound to the local power grid, and put funds of up to \$3.03 million over 5 years to better use.	\$3,030,000
Open Recommendations	Potential Monetary Benefits
<i>Inspection of the Global Engagement Center</i> (ISP-I-22-15, 9/2022)	
Recommendation 1: The Global Engagement Center, in coordination with the Bureau of Global Talent Management, should conduct an organizational assessment and align the center's organizational structure with operational needs.	N/A

Open Recommendations	Potential Monetary Benefits
Recommendation 2: The Global Engagement Center should clarify and codify the roles and responsibilities of the Policy, Plans, and Operations Division and the Interagency and International Coordination Cell in the Foreign Affairs Manual.	N/A
Recommendation 3: The Global Engagement Center should approve a plan to implement its Functional Bureau Strategy, update its major programs to include key priorities, and assess its execution by conducting regular reviews with its staff, in accordance with Department standards.	N/A
Recommendation 4: The Global Engagement Center should appoint an evaluation coordinator with decision-making authority to conduct evaluations appropriate to its portfolio, in accordance with Department standards.	N/A
Recommendation 5: The Global Engagement Center should review risks to its strategic objectives, implement processes for identifying and responding to risk, and communicate risk response guidance to staff in accordance with Department standards.	N/A
Recommendation 6: The Global Engagement Center should comply with federal and Department standards with respect to pre-award design and approval processes for its federal assistance programs.	N/A
Recommendation 7: The Global Engagement Center should complete the closeout process for awards currently overdue and implement procedures for the timely close out of future awards.	N/A
Recommendation 9: The Global Engagement Center should review and de-obligate all invalid unliquidated obligations without activity for more than 1 year, in accordance with Department guidance, so that funds of up to \$745,000 can be put to better use.	\$745,000
Recommendation 10: The Global Engagement Center should comply with Department contracting officer's representative program standards.	N/A
Recommendation 11: The Global Engagement Center should comply with mandatory leadership training requirements in accordance with Department standards.	N/A
Recommendation 13: The Global Engagement Center should use domain names for the Global Engagement Center's analytics platforms that comply with Department standards.	N/A
Recommendation 14: The Global Engagement Center, in coordination with the Bureau of Educational and Cultural Affairs, should require the Information Systems Security Officers for the Global Engagement Center analytics platforms to perform their duties in accordance with Department guidance.	N/A
Recommendation 15: The Global Engagement Center should implement procedures to ensure all aspects of its analytics platforms' systems documents are completed and current, in accordance with Department standards.	N/A
Recommendation 16: The Global Engagement Center, in coordination with the Office of the Under Secretary for Public Diplomacy and Public Affairs, should conduct a cost-benefit analysis for its analytics support program.	N/A
Recommendation 17: The Global Engagement Center should develop and implement a project plan for replacing the Disinformation Cloud website.	N/A

Open Recommendations	Potential Monetary Benefits
Recommendation 18: The Global Engagement Center should implement a records management program that complies with Department standards.	N/A
<i>Inspection of Embassy Tallinn, Estonia</i> (ISP-I-22-21, 8/2022)	
Recommendation 2: Embassy Tallinn, in coordination with the Bureau of Overseas Buildings Operations and the Bureau of Consular Affairs, should bring the Consular Section’s physical space into compliance with Department standards.	N/A
Recommendation 5: Embassy Tallinn, in coordination with the Bureau of Global Talent Management, should request authorization to implement changes to its local compensation plan, in accordance with Department standards.	N/A
<i>Inspection of Embassy Oslo, Norway</i> (ISP-I-22-23, 7/2022)	
Recommendation 7: Embassy Oslo should require the Embassy Employee Association board to conduct its oversight responsibilities in accordance with Department standards.	N/A
Recommendation 8: Embassy Oslo, in coordination with Regional Information Management Center Frankfurt and the Bureau of Information Resource Management, should bring the embassy’s high frequency radio equipment into compliance with Department standards.	N/A
<i>Management Assistance Report: The Department of State’s Records Retirement Process</i> (ISP-22-20, 6/2022)	
Recommendation 1: The Bureau of Administration should require that the Records and Archives Management Division implement internal controls to hold bureaus, offices, and overseas posts accountable for complying with Federal and Department records retirement standards.	N/A
Recommendation 2: The Bureau of Administration should require the Records and Archives Management Division to establish a process to identify the status of permanent records that are eligible for retirement but not yet retired, in accordance with Department guidance.	N/A
Recommendation 3: The Bureau of Administration should require the Records and Archives Management Division to review electronic records for compliance with Department records retirement requirements.	N/A
Recommendation 4: The Bureau of Administration should require the Records and Archives Management Division to comply with the requirements established in Executive Memorandum M-19-21 and National Archives and Records Administration Bulletin 2020-01.	N/A
Recommendation 8: The Bureau of Administration should require the Records and Archives Management Division to update records disposition schedules for overseas posts to reflect all Department messaging methods.	N/A

Open Recommendations	Potential Monetary Benefits
<i>Inspection of Embassy Pristina, Kosovo</i> (ISP-I-22-17, 6/2022)	
Recommendation 5: Embassy Pristina, in coordination with the Office of the Legal Adviser and the Bureau of European and Eurasian Affairs, should document and request approval for dual accreditation of its staff in accordance with Department guidance.	N/A
Recommendation 7: Embassy Pristina, in coordination with the Bureau of Overseas Buildings Operations, should evaluate all residential properties for seismic risk in accordance with Department standards.	N/A
Recommendation 10: Embassy Pristina should manage its records in accordance with Department standards.	N/A
<i>Inspection of Embassy Sofia, Bulgaria</i> (ISP-I-22-18, 5/2022)	
Recommendation 7: Embassy Sofia, in coordination with the Bureau of Overseas Buildings Operations, should perform seismic safety evaluations of its residential properties in accordance with Department standards.	N/A
Recommendation 8: Embassy Sofia should administer facility management expendable supplies in accordance with Department standards.	N/A
<i>Inspection of Embassy Athens and Constituent Post, Greece</i> (ISP-I-22-14, 5/2022)	
Recommendation 2: Embassy Athens should comply with Department standards for consular crisis preparedness.	N/A
Recommendation 3: Embassy Athens should require that safety certifications for all mission properties are conducted and documented in the Post Occupational Health and Safety Officer Certification Application and that any identified safety issues be corrected prior to occupancy, in accordance with Department standards.	N/A
Recommendation 4: Embassy Athens, in coordination with Bureau of Overseas Buildings Operations, should evaluate all mission residential properties for seismic risk in accordance with Department standards.	N/A
Recommendation 11: Embassy Athens, in coordination with the Bureau of Information Resource Management, should complete the systems authorization process for its locally developed applications.	N/A
Recommendation 14: Embassy Athens should reduce its printer inventory to comply with Department standards for printer management, so that funds of up to \$150,000 can be put to better use.	\$150,000
<i>Inspection of Embassy Budapest, Hungary</i> (ISP-I-22-12, 5/2022)	
Recommendation 1: Embassy Budapest should comply with Department standards for grant file documentation.	N/A
Recommendation 5: Embassy Budapest should enter motor vehicle operational costs into the Fleet Management Information System and verify the integrity of data entered into the system in accordance with Department standards.	N/A

Open Recommendations	Potential Monetary Benefits
Recommendation 7: Embassy Budapest should administer and procure facility management expendable supplies in accordance with Department standards.	N/A
<i>Inspection of Embassy Montevideo, Uruguay</i> (ISP-I-22-11, 4/2022)	
Recommendation 3: Embassy Montevideo should comply with all Department Overseas Motor Vehicle Safety standards for chauffeurs and incidental drivers under chief of mission authority.	N/A
Recommendation 4: Embassy Montevideo should bring its contracting officer's representative program into compliance with Department standards.	N/A
Recommendation 7: Embassy Montevideo should comply with Department standards with respect to the use of shipping containers for permanent storage space and functional space.	N/A
<i>Inspection of Embassy Santiago, Chile</i> (ISP-I-22-10, 3/2022)	
Recommendation 1: Embassy Santiago, in coordination with the Bureau of Educational and Cultural Affairs, should develop and implement a plan to provide full financial oversight over the binational Fulbright Commission.	N/A
Recommendation 4: Embassy Santiago should complete and document safety certifications for all embassy residences in the Post Occupational Safety and Health Officer Certification Application, in accordance with Department standards.	N/A
Recommendation 5: Embassy Santiago, in coordination with the Bureau of Overseas Buildings Operations, should perform seismic evaluations of its residential properties in accordance with Department standards.	N/A
Recommendation 6: Embassy Santiago should dismantle and remove its shipping containers and portable structures in accordance with Department requirements or obtain authorization from the Bureau of Overseas Buildings Operations to use the shipping containers as permanent storage.	N/A
Recommendation 7: Embassy Santiago should comply with all Department Overseas Motor Vehicle Safety standards for chauffeurs and incidental drivers under chief of mission authority.	N/A
Recommendation 8: Embassy Santiago should bring its contracting officer's representative program into compliance with Department standards.	N/A
Recommendation 10: Embassy Santiago should manage its bulk fuel operation in accordance with Department standards.	N/A
Recommendation 11: Embassy Santiago should conduct and submit an annual housing market survey in accordance with Department requirements.	N/A
Recommendation 14: Embassy Santiago should retire records in accordance with Department records management standards.	N/A
<i>Inspection of Embassy Luxembourg, Luxembourg</i> (ISP-I-22-09, 2/2022)	
Recommendation 2: Embassy Luxembourg should update its public-private partnership with the University of Luxembourg.	N/A

Open Recommendations	Potential Monetary Benefits
Recommendation 6: Embassy Luxembourg, in coordination with the Bureau of Overseas Buildings Operations, should bring its fire protection program into compliance with Department standards.	N/A
Recommendation 12: The Bureau of Information Resource Management, in coordination with Embassy Luxembourg, should remediate the telephone and network cabling at the embassy, in accordance with Department standards.	N/A
<i>Inspection of the Bureau of Administration, Office of the Executive Director (ISP-I-22-07, 1/2022)</i>	
Recommendation 4: The Bureau of Administration should conduct risk assessments for the applications in the Information Technology Services Division and obtain authorizations to operate from the Bureau of Information Resource Management.	N/A
Recommendation 11: The Bureau of Administration should review and de-obligate all invalid unliquidated obligations without activity for more than 1 year, in accordance with Department standards, so funds of up to \$8 million can be put to better use.	\$8,000,000
<i>Inspection of the Bureau of East Asian and Pacific Affairs (ISP-I-22-06, 12/2021)</i>	
Recommendation 1: The Bureau of East Asian and Pacific Affairs should review its Joint Regional Strategy in accordance with Department guidelines.	N/A
Recommendation 3: The Bureau of East Asian and Pacific Affairs, in coordination with the Bureau of Global Talent Management, should conduct an organizational assessment to align its organizational structure with bureau operational needs and Department requirements.	N/A
Recommendation 6: The Bureau of East Asian and Pacific Affairs should review and de-obligate all invalid unliquidated obligations without activity for more than 1 year, in accordance with Department guidance, so funds of up to \$2.7 million can be put to better use.	\$2,700,000
<i>Review of the Bureau of Consular Affairs' ConsularOne Modernization Program –Significant Deployment Delays Continue (ISP-I-22-03, 11/2021)</i>	
Recommendation 2: The Bureau of Consular Affairs should clearly define the ConsularOne modernization program and Consular Systems Modernization, including its components, projects, supporting contracts, and the associated total cost of those contracts for both efforts.	N/A
Recommendation 4: The Bureau of Consular Affairs should require the Office of Consular Systems and Technology to implement an internal communication and collaboration plan.	N/A
Recommendation 6: The Bureau of Consular Affairs should require the Office of Consular Systems and Technology to maintain documented management approvals for all information systems throughout the systems development lifecycle process in accordance with Department standards.	N/A

Open Recommendations	Potential Monetary Benefits
Recommendation 8: The Bureau of Consular Affairs should require the Office of Consular Systems and Technology to conduct independent information system security assessments.	N/A
Recommendation 10: The Bureau of Consular Affairs, in coordination with the Bureau of Information Resource Management, should complete the assessment and authorization process for the Office of Consular Systems and Technology's information systems with expired authorizations to operate.	N/A
Recommendation 11: The Bureau of Consular Affairs should perform annual security controls assessments for the Office of Consular Systems and Technology's information systems in accordance with Department standards.	N/A
<i>Inspection of Embassy Reykjavik, Iceland</i> (ISP-I-22-02, 10/2021)	
Recommendation 8: Embassy Reykjavik, in coordination with the Bureau of Overseas Buildings Operations, should perform seismic evaluations of its residential properties in accordance with Department standards.	N/A
Recommendation 12: Embassy Reykjavik, in coordination with the Bureau of Overseas Buildings Operations, should comply with Department standards for server room safety controls.	N/A
<i>Inspection of the Office to Monitor and Combat Trafficking in Persons</i> (ISP-I-22-01, 10/2021)	
Recommendation 5: The Office to Monitor and Combat Trafficking in Persons should review its unliquidated obligations in accordance with Department standards and put up to \$496,000 to better use.	\$496,000
<i>Inspection of the Bureau of Administration, Office of Overseas Schools</i> (ISP-I-21-32, 9/2021)	
Recommendation 3: The Bureau of Administration should require the Office of Overseas Schools to review its unliquidated obligations in accordance with Department guidelines and put up to \$3.05 million in funds to better use.	\$3,050,000
<i>Inspection of the Office of the Science and Technology Adviser to the Secretary</i> (ISP-I-21-30, 8/2021)	
Recommendation 4: The Office of the Science and Technology Adviser to the Secretary, in coordination with the Offices of the Under Secretary for Economic Growth, Energy, and the Environment, the Deputy Secretary of State, and the Deputy Secretary of State for Management and Resources, should submit a statement of its policy areas of responsibilities in accordance with Department standards.	N/A
<i>Inspection of the Bureau of Information Resource Management's Office of Consolidated Customer Support</i> (ISP-I-21-19, 7/2021)	
Recommendation 1: The Bureau of Information Resource Management should review and update the Enterprise IT Help Desk and Desktop Support Master Service Level Agreement to align with the current Bureau of Information Resource Management service catalog.	N/A

Open Recommendations	Potential Monetary Benefits
Recommendation 2: The Bureau of Information Resource Management should define out-of-scope services in the Enterprise IT Help Desk and Desktop Support Master Service Level Agreement.	N/A
Recommendation 3: The Bureau of Information Resource Management should update the Enterprise IT Help Desk and Desktop Support Master Service Level Agreement to include the methodology for calculating the incident resolution time.	N/A
Recommendation 4: The Bureau of Information Resource Management should update the Enterprise IT Help Desk and Desktop Support Master Service Level Agreement to reflect the current technology modernization policy.	N/A
Recommendation 5: The Bureau of Information Resource Management should review its technology modernization purchasing policies and determine if the Enterprise IT Help Desk and Desktop Support Master Service Level Agreement requires updates to these policies based on its review.	N/A
Recommendation 10: The Bureau of Information Resource Management, in coordination with the Bureau of Administration should, upon completion of the comprehensive cost model study, adjust the Office of Consolidated Customer Support’s desktop service fee, if necessary, and publish a pricing schedule for all customers.	N/A
<i>Inspection of the Bureau of Legislative Affairs</i> (ISP-I-21-20, 6/2021)	
Recommendation 4: The Bureau of Legislative Affairs should develop and implement a plan for all employees to comply with Department mandatory training requirements for harassment prevention, supervision, and retaliation-related courses.	N/A
Recommendation 5: The Bureau of Legislative Affairs should involve its employees in developing the Functional Bureau Strategy and brief them on the final product to establish organizational understanding of its mission, goals, objectives, resource requirements, and milestones, in accordance with Department guidance.	N/A
Recommendation 10: The Bureau of Legislative Affairs should establish and implement written service standards for the management services and administrative support provided by the Office of the Executive Director, including a system to record and monitor its services.	N/A
Recommendation 12: The Bureau of Legislative Affairs should develop and implement a formal bureau-wide training policy and plan, in accordance with Department standards.	N/A
<i>Review of the Public Diplomacy Staffing Initiative</i> (ISP-I-21-24, 4/2021)	
Recommendation 6: The Office of Policy, Planning, and Resources, in coordination with the Foreign Service Institute, should develop and implement a training plan with short-, medium-, and long-term objectives that address how to train public diplomacy officers and locally employed staff in core competencies based on new position descriptions for Public Diplomacy Staffing Initiative implementation.	N/A

Open Recommendations	Potential Monetary Benefits
<i>Inspection of the Bureau of Overseas Buildings Operations' Office of Safety, Health, and Environmental Management</i> (ISP-I-21-21, 4/2021)	
Recommendation 4: The Bureau of Overseas Buildings Operations, in coordination with the Bureaus of European and Eurasian Affairs, Near Eastern Affairs, and Western Hemisphere Affairs, should bring principal officer residential swimming pools at Embassies Cairo, Madrid, and Quito, and Consulate General Hamilton into compliance with Department swimming pool safety standards.	N/A
<i>Management Assistance Report: Continued Deficiencies in Performance of Information Systems Security Officer Responsibilities at Overseas Posts</i> (ISP-21-07, 12/2020)	
Recommendation 1: The Bureau of Global Talent Management, in coordination with the Under Secretary for Management, the Bureaus of Diplomatic Security and Information Resource Management, and the regional bureaus, should conduct an organizational assessment of the information systems security officer program to determine the feasibility of creating full-time overseas positions and implement the results of the assessment with an appropriate reporting structure for those positions.	N/A
<i>Inspection of the Bureau of European and Eurasian Affairs</i> (ISP-I-20-15, 9/2020)	
Recommendation 3: The Bureau of European and Eurasian Affairs should review and de-obligate any of the unliquidated obligations totaling \$3.3 million without activity for over 1 year and put de-obligated funds to better use.	\$3,300,000
<i>Inspection of Embassy London and Constituent Posts, United Kingdom</i> (ISP-I-20-12, 8/2020)	
Recommendation 6: Embassy London, in coordination with the Bureau of Overseas Buildings Operations, should bring Consulate General Edinburgh's consular waiting room into compliance with current Department standards.	N/A
<i>Inspection of Embassy Dhaka, Bangladesh</i> (ISP-I-20-17, 6/2020)	
Recommendation 17: Embassy Dhaka, in coordination with the Bureau of Overseas Buildings Operations, should conduct a technical review of the design plans for the two structures used as warehouses on the embassy annex compound and submit the survey for approval, in accordance with Department standards.	N/A
Recommendation 18: Embassy Dhaka, in coordination with the Bureau of Overseas Buildings Operations, should complete all elevator repairs needed to obtain a current certificate of use for each elevator, in accordance with Department standards.	N/A
<i>Inspection of Embassy Bern, Switzerland</i> (ISP-I-20-21, 5/2020)	
Recommendation 2: Embassy Bern, in coordination with the Bureau of Overseas Buildings Operations, should provide accommodations for consular applicants in accordance with Department standards.	N/A

Open Recommendations	Potential Monetary Benefits
<i>Inspection of Embassy Nouakchott, Mauritania</i> (ISP-I-20-04, 11/2019)	
Recommendation 1: Embassy Nouakchott, in coordination with the Bureaus of Diplomatic Security, Information Resource Management, and Overseas Buildings Operations, should bring the American Center in the new embassy compound into compliance with Department standards.	N/A
Recommendation 3: Embassy Nouakchott should comply with Department standards for the use of public diplomacy funds.	N/A
Recommendation 12: Embassy Nouakchott should close out procurement files in accordance with Department standards.	N/A
<i>Inspection of the U.S. Mission to the Organization of American States</i> (ISP-I-19-37, 9/2019)	
Recommendation 4: The U.S. Mission to the Organization of American States should implement a records management program in accordance with Department standards.	N/A
<i>Inspection of Embassy Nassau, The Bahamas</i> (ISP-I-19-19, 8/2019)	
Recommendation 12: Embassy Nassau should establish a comprehensive corrective action plan and certify all residences for occupancy in accordance with Department standards.	N/A
Recommendation 20: Embassy Nassau should review its unliquidated obligations in accordance with Department guidelines and put up to \$2.3 million to better use.	\$2,300,000
<i>Inspection of Embassy Paramaribo, Suriname</i> (ISP-I-19-20, 7/2019)	
Recommendation 8: The Bureau of Overseas Buildings Operations, in coordination with Embassy Paramaribo, should address the roof leaks in the new embassy compound and mitigate the resulting health hazards within 180 days.	N/A
<i>Inspection of Embassy Port-au-Prince, Haiti</i> (ISP-I-19-18, 6/2019)	
Recommendation 17: Embassy Port-au-Prince should close out procurement files in accordance with Department standards.	N/A
Recommendation 26: Embassy Port-au-Prince should clear overdue travel advances in accordance with Department guidelines, and put up to \$146,557 to better use.	\$146,557
<i>Inspection of Embassy Bogota, Colombia</i> (ISP-I-19-14, 4/2019)	
Recommendation 29: Embassy Bogota should remove the KACTUS database from the dedicated internet network and conduct a risk assessment based on the National Institute of Standards and Technology's Risk Management Framework.	N/A

Open Recommendations	Potential Monetary Benefits
<i>Inspection of the Bureau of Democracy, Human Rights, and Labor’s Foreign Assistance Program Management</i> (ISP-I-19-12, 10/2018)	
Recommendation 3: The Bureau of Democracy, Human Rights, and Labor, in coordination with the Bureau of Administration, should finalize a service level agreement clarifying the Bureau of Administration’s responsibilities for grants support.	N/A

Table D.4
Department of State Management Success in Implementing Recommendations, 10/1/2022–3/31/2023

Office of Audits
<i>Audit of the Bureau of Diplomatic Security’s Oversight of Contractor Performance and Invoice Processing for the Domestic Guard Services Contract</i> (AUD-SI-22-37, 09/2022)
<p>The Office of Inspector General (OIG) made recommendations to the Bureau of Diplomatic Security (DS) to improve oversight of contractor performance and invoice processing for the domestic guard services contract. In response to the recommendations, DS revised its “Standard Operating Procedures: Contracting Officer’s Representative, Government Technical Monitor, and Domestic Security Officer.” The revision included procedures for Government Technical Monitors to monitor and document contractor compliance with contract terms and conditions. The revision also included a requirement for Contracting Officer’s Representatives to verify and document that supporting documentation submitted with an invoice is accurate and complete. These revisions should provide DS with reasonable assurance that contract administration and oversight are conducted in accordance with federal and Department standards. Additionally, OIG made recommendations to DS to inspect the body armor items acquired for use under the contract to determine whether they are of sufficient quality and to dispose of and replace, as appropriate, all body armor items determined during the inspection to be of insufficient quality. In response to the recommendations, DS inspected 100 percent of the body armor items acquired for use under the contract, determined that some of the equipment was not of sufficient quality, and replaced the body armor items that did not meet contractual standards. DS addressed this potential life safety issue in a timely manner.</p>
<i>Audit of Selected Internal Controls for the Special Needs Education Allowance</i> (AUD-FM-20-33, 06/2020)
<p>OIG made recommendations to the Under Secretary for Management to update and publish specific guidance in the Foreign Affairs Manual regarding the Special Needs Education Allowance. In response to the recommendations, the Under Secretary for Management updated and published specific guidance in the Foreign Affairs Manual regarding the Special Needs Education Allowance such as the policy, roles and responsibilities of entities involved in the process, required documentation, and Special Needs Education Allowance appeal process. In addition, the Under Secretary for Management identified and implemented measures to maintain effective internal and financial controls, ensure operational efficiency through ongoing systems reviews, and ensure that the Department complies with relevant regulations and laws. These internal controls are necessary to effectively administer the Special Needs Education Allowance and were reflected in updates to the Foreign Affairs Manual.</p>

Office of Inspections*Inspection of Embassy Sofia, Bulgaria*
(ISP-I-22-18, 05/2022)

IG recommended that Embassy Sofia dismantle and remove its shipping containers and portable structures in accordance with Department requirements. In response to the recommendation, Embassy Sofia held multiple auctions which resulted in putting \$18,046 to better use.

Inspection of Embassy Athens and Constituent Post, Greece
(ISP-I-22-14, 05/2022)

IG recommended that Embassy Athens dismantle and remove shipping containers and portable structures from Embassy property in accordance with Department requirements. In response to the recommendation, Embassy Athens provided documentation of sales from numerous auctions resulting in putting \$12,295 to better use.

Inspection of Embassy Dhaka, Bangladesh
(ISP-I-20-17, 06/2020)

IG recommended that Embassy Dhaka dismantle and remove its shipping containers and portable structures in accordance with Department requirements. In response to the recommendation, Embassy Dhaka dismantled, removed, and conducted auctions of stored property which resulted in funds put to better use in the amount of \$75,361.

Inspection of Embassy Santo Domingo, Dominican Republic
(ISP-I-19-17, 07/2019)

IG recommended that Embassy Santo Domingo should review its unliquidated obligations in accordance with Department guidance and put up to \$1.3 million to better use. In response to the recommendation, Embassy Santo Domingo provided documentation showing a review of all unliquidated obligations which resulted in putting \$863,785 to better use.

Inspection of Embassy Bogota, Colombia
(ISP-I-19-14, 04/2019)

IG recommended that Bogota clear overdue travel advances in accordance with Department guidelines and put up to \$1,451,820 to better use. In response to the recommendation, Embassy Bogota cleared several advances through collections putting \$61,602 to better use.

APPENDIX E: U.S. AGENCY FOR GLOBAL MEDIA COMPLIANCE

Table E.1 reports the status of open Office of Inspector General (OIG) recommendations to the U.S. Agency for Global Media (USAGM) as of March 31, 2023. Prior to August 22, 2018, USAGM was known as the Broadcasting Board of Governors.

OIG Compliance Lexicon

Open
<p>Unresolved: No agreement between OIG and management on the recommendation or proposed corrective action.</p> <p>Resolved: Agreement on the recommendation and proposed corrective action but implementation has not been completed.</p>
Closed
<p>Agreed-upon corrective action is complete.</p>

Table E.1
Open Office of Inspections Recommendations Issued in Previous Periods, Pending Final U.S. Agency for Global Media Action, as of 3/31/2023

Open Recommendations	Potential Monetary Benefits
<i>Targeted Inspection of the U.S. Agency for Global Media: Journalistic Standards and Principles</i> (ISP-IB-21-06, 12/2020)	
Recommendation 1: The Office of Cuba Broadcasting, in coordination with the U.S. Agency for Global Media, should prepare and communicate with its staff a comprehensive plan for timely implementation of the editorial oversight reforms, including annual program reviews.	N/A
Recommendation 4: The United States Agency for Global Media should direct each network to develop systematic employee training on journalistic standards and related professional development plans.	N/A
<i>Targeted Inspection of the Governance of the United States Agency for Global Media</i> (ISP-IB-19-22, 4/2019)	
Recommendation 3: The U.S. Agency for Global Media should update policies and procedures in its Broadcasting Administrative Manual.	N/A
<i>Inspection of Radio Free Europe/Radio Liberty</i> (ISP-IB-17-21, 5/2017)	
Recommendation 6: The Broadcasting Board of Governors should revise its grant agreement with Radio Free Europe/Radio Liberty to include specific requirements for security policies.	N/A

Open Recommendations	Potential Monetary Benefits
Recommendation 7: The International Broadcasting Bureau, in coordination with Radio Free Europe/Radio Liberty, should establish a written protocol on background investigations to comply with the grant agreement.	N/A
<i>Inspection of the Broadcasting Board of Governors' Middle East Broadcasting Networks (ISP-IB-17-09, 2/2017)</i>	
Recommendation 2: The International Broadcasting Bureau Office of Chief Financial Officer should implement an action plan to close out expired Middle East Broadcasting Networks grants.	N/A
Recommendation 8: The International Broadcasting Bureau Office of Security, in coordination with the Middle East Broadcasting Networks, should establish a written protocol to comply with Article X of the grant agreement.	N/A

APPENDIX F: ABBREVIATIONS

Abbreviation	Full Name
ACE	U.S. Assistance for Europe and Eurasia
APA	Afghan Placement and Assistance
AQM	Office of Acquisitions Management
AUD	Office of Audits
CA	Bureau of Consular Affairs
CAATSA	Countering America’s Adversaries Through Sanctions Act
C.F.R.	Code of Federal Regulations
CIGIE	Council of the Inspectors General on Integrity and Efficiency
CO	Contracting Officer
COVID-19	Coronavirus Disease 2019
CRIF	Countering Russian Influence Fund
DCAA	Defense Contract Audit Agency
Department	Department of State
DoD	Department of Defense
DOJ	Department of Justice
ESP	Office of Evaluations and Special Projects
I&E	Inspection and Evaluation
INV	Office of Investigations
ISP	Office of Inspections
IT	Information Technology
Lead IG	Lead Inspector General
NDAA	National Defense Authorization Act
OCB	Office of Cuba Broadcasting
OCO	Overseas Contingency Operations
OES	Operation Enduring Sentinel
OFS	Operation Freedom’s Sentinel
OIG	Office of Inspector General
OMB	Office of Management and Budget
OPM	Office of Personnel Management
SIV	Special Immigrant Visa
USAGM	U.S. Agency for Global Media
USAID	U.S. Agency for International Development
USIBWC	International Boundary and Water Commission, United States and Mexico, U.S. Section

APPENDIX G: INDEX OF REPORTING REQUIREMENTS UNDER 5 U.S.C. SECTION 405

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