



OFFICE OF INSPECTOR GENERAL

2023-0009-INVI-P – Unreported Outside Employment and Employment of Subordinate Architect of the Capitol (AOC) Employees

Suspected Violations of the AOC “Standards of Conduct” and “Government Ethics” Policies by a Senior Supervisor, Subordinate Supervisor and Employee. **Substantiated.**

The AOC Office of Inspector General (OIG) received an allegation that claimed a Senior Supervisor owned an unreported Heating, Ventilation and Air Conditioning (HVAC) business and employed a Subordinate Supervisor at the HVAC business.

The OIG initiated an investigation during which the Senior Supervisor admitted to owning a personal HVAC business and to compensating the Subordinate Supervisor and an additional AOC Employee for “help” with projects.

State law where the business is located requires that an individual must hold a HVAC license as an Apprentice, Journey, Journey Restricted, Limited Contractor, Master Restricted, or Master Contractor to perform any type of HVAC work. Further investigation revealed that the Subordinate Supervisor and the Employee do not maintain any form of active HVAC license in the State where they reside or perform work, and although the Senior Supervisor personally maintains a Master HVAC license, their personal business is not licensed or registered in the State where they conduct business.

Evidence obtained by the OIG revealed that the Subordinate Supervisor also owned an unreported and unlicensed HVAC business in the same State.

A review conducted by the State regulatory agency determined that the Senior Supervisor had not reported business income to the State or filed the appropriate IRS Schedule C form, Profit or Loss from Business, on behalf of their business. Documentary and testimonial evidence obtained by the OIG also confirmed that the Subordinate Supervisor and the Employee have not reported outside business income to the State.

All licensing concerns and potential violations of law have been referred to the State regulatory agency for further investigation as they deem appropriate.

Final Management Action: The OIG substantiated that all three employees violated multiple AOC policies and the administrative violations were submitted to the Acting Architect of the Capitol for action deemed appropriate, if any. On March 13, 2024, the OIG was notified that the AOC issued reprimands to the Senior Supervisor and Subordinate Supervisor. The employee received a counseling memorandum. The case is closed.